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- 1 **Title:** Growing season moisture drives inter-annual variation in woody productivity of a
- 2 temperate deciduous forest

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- 4 Authors
- 5 Ryan Helcoski<sup>1</sup>
- 6 Alan J. Tepley<sup>1,2,3</sup> ORCID 0000-0002-5701-9613
- 7 Neil Pederson<sup>4</sup> ORCID 0000-0003-3830-263X
- 8 Jennifer C. McGarvey<sup>1</sup>
- 9 Victoria Meakem<sup>1</sup>
- 10 Valentine Herrmann<sup>1</sup> ORCID 0000-0002-4519-481X
- 11 Jonathan R. Thompson<sup>1, 4</sup> ORCID 0000-0003-0512-1226
- 12 Kristina J. Anderson-Teixeira<sup>1,5\*</sup> ORCID 0000-0001-8461-9713

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- \*Corresponding author teixeirak@si.edu; 540-635-6546
- 15 <sup>1</sup>Conservation Ecology Center; Smithsonian Conservation Biology Institute; Front Royal,
- 16 Virginia, 22630, USA
- <sup>2</sup>W. A. Franke College of Forestry & Conservation, University of Montana, Montana, 59812,
- 18 USA
- <sup>3</sup>Division of Biological Sciences, University of Montana, Montana, 59812, USA
- <sup>4</sup>Harvard Forest; Petersham, MA, 01366, USA
- <sup>5</sup>Center for Tropical Forest Science-Forest Global Earth Observatory, Smithsonian Tropical
- Research Institute, 0843-03092, Republic of Panama

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## Summary

- 1. The climate sensitivity of forest ecosystem woody productivity (*ANPP*<sub>stem</sub>) influences carbon cycle responses to climate change. For the first time, we combine long-term annual growth and forest census data of a diverse temperate broadleaf deciduous forest, seeking to resolve whether *ANPP*<sub>stem</sub> is primarily moisture- or energy-limited and whether climate sensitivity has changed in recent decades characterized by more mesic conditions and elevated CO<sub>2</sub>.
  - We analyzed tree-ring chronologies across 109 years of monthly climatic variation (1901-2009) for 14 species representing 97% of ANPP<sub>stem</sub> in a 25.6-ha plot in northern Virginia, USA.
  - 3. Radial growth of most species and ecosystem-level *ANPP*<sub>stem</sub> responded positively to cool, moist growing season conditions, but the same conditions in the previous May-July were associated with reduced growth. In recent decades (1980-2009), responses were more variable and on average, weaker.
  - 4. Our results indicate that woody productivity is primarily limited by current growing season moisture, as opposed to temperature or sunlight, but additional complexity in climate sensitivity may reflect the use of stored carbohydrate reserves. Overall, while such forests currently display limited moisture sensitivity, their woody productivity is likely to decline under projected hotter and potentially drier growing season conditions.

**Key words:** ANPP; climate sensitivity; dendrochronology; ForestGEO; temperate deciduous forest; tree rings, woody productivity, non-structural carbohydrates

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#### Introduction

Forests globally sequester  $\sim 1/3$  of anthropogenic CO<sub>2</sub> emissions from fossil fuel combustion (Le Quéré et al., 2017), thereby slowing atmospheric CO<sub>2</sub> accumulation and consequent climate change. Yet, the persistence of this global forest carbon (C) sink is threatened by climate change, including the increasing risk of severe drought (Trenberth et al., 2014; Clark et al., 2016), and its future course remains one of the largest uncertainties in global climate models (Friedlingstein et al., 2006). To predict the future C balance of forests, it is necessary to understand the climate sensitivity of aboveground woody net primary productivity—i.e., the portion of C fixed through photosynthesis that is preserved long-term in woody stems (ANPP<sub>stem</sub>). The challenge to doing so is that this requires multi-decadal records of annual growth for all tree species that contribute substantively to ANPP<sub>stem</sub>. Tree rings are invaluable for disentangling the multiple interacting factors that influence growth, including climatic variation. However, traditional dendrochronological methods, where only targeted canopy trees are sampled, are not optimal for characterization of the climate sensitivity for entire forest stands (Babst et al., 2018). Moreover, and may overestimate climate sensitivity (Klesse et al., 2018b). There has been limited use of tree rings to estimate ANPP<sub>stem</sub> (Graumlich et al., 1989; Davis et al., 2009; Babst et al., 2014; Dye et al., 2016; Klesse et al., 2016; Teets et al., 2018a) and its climate sensitivity (Woolley et al., 2015; Klesse et al., 2018a; Teets et al., 2018b). Temperate forests are an important C sink (~0.8 Pg C yr<sup>-1</sup>; Pan et al., 2011), with ~0.2 Pg C sequestered annually by the broadleaf deciduous forests of eastern North America (Albani et al., 2006). Despite being among the best-studied ecosystem types on Earth (Martin et al., 2012), there remains large uncertainty as to the climate sensitivity of temperate broadleaf forests and how they will respond to future climate change. Notably, of the >4000 collections in the International Tree-Ring Databank, only 19% are of broadleaf species and of that small proportion, 67% are of *Quercus* species (Zhao et al., 2019). The broadleaf deciduous forests of eastern North America are generally mesic and conventionally considered to be more strongly limited by energy (temperature or solar radiation) than by water (Running et al., 2004). Over relatively short time scales, gross primary production (GPP) and transpiration are reduced under cloudy, cool conditions (Barford, 2001; Anderson-Teixeira et al., 2015b). Growing season length (shaped by spring and fall temperatures) appears to be a primary driver of interannual variability in net ecosystem exchange of CO<sub>2</sub> (NEE;

82 (Baldocchi et al., 2018). Meanwhile, tree-ring based records of radial growth covering most of 83 the 20th century indicate that most of the dominant canopy species in the region respond 84 positively to water availability and negatively to high temperatures (Elliott et al., 2015; Martin-Benito & Pederson, 2015; Charney et al., 2016; Levesque et al., 2017; D'Orangeville et al., 85 86 2018). However, it is unknown whether the whole forest ecosystem follows the trends observed 87 in tree-rings from canopy trees or whether co- and sub-dominant individuals or smaller-statured 88 species alter the response of ANPP<sub>stem</sub> to climatic variation (i.e., a niche complementarity effect; 89 Isbell et al., 2015). Thus, it remains unclear how whole-ecosystem ANPP<sub>stem</sub> responds to 90 interannual variability in growing season climate. 91 Beyond the influence of current growing season conditions, ANPP<sub>stem</sub> is almost inevitably 92 influenced by conditions in the prior year. This is because early-season stem growth is partially 93 fueled by non-structural carbohydrate (NSC) reserves, particularly in ring porous species 94 (Trumbore et al., 2002; Kagawa et al., 2006; Zweifel et al., 2006; Michelot et al., 2012; 95 Richardson et al., 2013). While NSC reserves can be decades old, the active ("fast") pool that 96 fuels new growth is typically composed of C that was fixed within the past 1-2 years (Carbone et 97 al., 2013; Richardson et al., 2013, 2015), suggesting a lagged relationship between current year 98 growth and previous years' climate conditions. These lags are common in temperate deciduous 99 species, although their direction and strength is somewhat variable (e.g., Charney et al., 2016; 100 D'Orangeville et al., 2018; Hacket-Pain et al., 2018; Pederson et al., 2012). Despite the high 101 probability that ANPP<sub>stem</sub> is sensitive to climate conditions prior to the current growing season, 102 this sensitivity has not been characterized in most ecological studies. Characterizing the long-103 term sensitivity of ANPP<sub>stem</sub> to current and previous years' climatic conditions requires scaling 104 tree-ring records from a diversity of tree sizes and species to the ecosystem level, and will be 105 critical to predicting temperate forest responses to climate change. 106 Finally, it remains unclear if and how climate sensitivity has changed in recent decades, 107 during which tree growth in US eastern deciduous forests has been altered by more mesic 108 conditions, increasing atmospheric CO<sub>2</sub>, and declines in atmospheric pollutants (SO<sub>x</sub>, NO<sub>x</sub>). 109 Several studies have found that these changes drove increased tree growth within the biome, but 110 attribute the increased growth to different sets of environmental changes (McMahon et al., 2010; 111 Levesque et al., 2017; Mathias & Thomas, 2018). It remains unknown how such changes have 112 affected climate sensitivity in eastern US forests. In other forest biomes around the world, there

have been observations of reduced climate sensitivity in recent decades (Briffa et al., 1998a,b; Knapp et al., 2001; Soulé & Knapp, 2006; Leal et al., 2008; Wyckoff & Bowers, 2010; Maxwell et al., 2016), although the pattern is not universal (Biondi, 2000; Carrer & Urbinati, 2006). The reductions in climate sensitivity found in some species may be attributable to increased water use efficiency driven by elevated CO<sub>2</sub> (Briffa et al., 1998a; Knapp et al., 2001; Soulé & Knapp, 2006; Leal et al., 2008; Wyckoff & Bowers, 2010), although other factors are also at play; for example, in the Midwest USA, there has been a reduction in the strength of droughts in recent decades (Maxwell et al., 2016).

Here, we analyze 109 years of annual woody growth for 14 species within a temperate deciduous forest in Virginia, USA to understand how multiple climate drivers influence individual species growth and *ANPP*<sub>stem</sub>. We test the hypothesis that woody growth of most species and *ANPP*<sub>stem</sub> are primarily water-limited, as opposed to energy-limited; *i.e.*, growth responds positively to growing season moisture and negatively to increased temperatures or potential evapotranspiration (PET). We evaluate this hypothesis across more than a century of historical climate variation (1901-2009) and for three consecutive 30-year periods therein (1920-1949, 1950-1979, 1980-2009).

#### **Materials and Methods**

Study Site

Our study site was the 25.6-ha large forest dynamics plot at the Smithsonian Conservation Biology Institute (SCBI) in the Blue Ridge Mountains of northern Virginia, USA (Supporting Information Fig. S1; 38°53'36.6" N, 78°08'43.4" W; elevation 273-338 m.; Bourg, McShea, Thompson, McGarvey, & Shen, 2013), which is part of the Forest Global Earth Observatory (ForestGEO) network (Anderson-Teixeira *et al.*, 2015a). The climate is humid temperate. From 1901 to 2009, January and July temperatures averaged 1°C and 24°C, respectively, with mean annual precipitation of 998 mm distributed fairly evenly throughout the year (Table 1; Supporting Information Fig. S2). Bud break typically occurs in April, and leaf senescence begins in September and extends into November. Stem expansion is typically most rapid between May and July. The plot is a mature secondary mixed deciduous forest that developed after agricultural abandonment in the mid-19th century. Canopy trees are primarily 65 - 145 years old with some individuals >240 years old (Supporting Information Fig. S3a).

144 145 *Plot census and ANPP<sub>stem</sub> calculations* 146 The plot was censused in 2008 and 2013 using standard ForestGEO protocols, where all 147 woody stems ≥ 1 cm in diameter at breast height (DBH) were identified, mapped, tagged, and 148 measured in DBH (Condit, 1998; Bourg et al., 2013). Census data, which were last updated in 149 2014, were obtained through the ForestGEO data portal (forestgeo.si.edu). 150 Census data were used to calculate mean annual ANPP<sub>stem</sub> from 2008-2013. Specifically, 151 we first identified individuals that were alive in both 2008 and 2013 and estimated their 152 aboveground biomass for both years using the allometries identified in Gonzalez-Akre et al. 153 (2016) and recorded in our database of allometric equations, allodb 154 (https://github.com/forestgeo/allodb; Gonzalez-Akre, personal communication). The growth rate 155 of each individual was calculated as the difference between these values divided by the time 156 interval, using exact census dates for each tree. To identify and deal with outliers, trees were 157 grouped into DBH bins (1-5, 5-10, 10-50, >50cm) and the annual biomass increment of trees 158 deviating from the size bin mean by more than ±4 standard deviations (SD) were replaced with 159 that mean. Individual biomass growth rates were then summed across species, using minimum 160 DBH thresholds of both 1 and 10 cm. Comprehensive estimation of ANPP<sub>stem</sub> requires accounting for the growth of trees that 161 162 recruit into the census or die between censuses (Clark et al., 2001). For trees that grew into the 163 ≥1 or ≥10cm DBH size class between 2008 and 2013, growth was calculated as the difference 164 between 2013 biomass and the biomass of an individual of the minimum size threshold. We 165 assumed minimal ANPP<sub>stem</sub> contributions of stems that died between 2008 and 2013 because 166 trees at this site and elsewhere typically have greatly reduced growth rates for several years 167 before dying (Gonzalez-Akre et al., 2016; Cailleret et al., 2017). We considered the ANPP<sub>stem</sub> 168 estimates including recruitment as the authoritative values for this site and used them to calculate 169 total ANPP<sub>stem</sub> and contributions of each species (Table 2). However, to compare census- and 170 tree-ring- based estimates of ANPP<sub>stem</sub>, we excluded recruitment, as it was not included in tree-171 ring based estimates (Supporting Information Table S3). 172 173

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Tree core collection and chronology building

We collected increment cores from a subset of trees distributed throughout the plot in either 2010-11 or 2016-17 (Table 2, Supporting Information Fig. S1, Table S1). All cores were taken at breast height (137 cm). In 2010-11, cores were taken from randomly selected live individuals from each species with at least 30 trees ≥10 cm DBH. The majority of these were subsequently measured (Bourg et al., 2013). In 2016 and 2017, we collected cores from all trees found dead in our annual mortality census (Gonzalez-Akre et al., 2016). Cores were dried, mounted, and sanded with a belt sander or by hand when necessary to see the cell structure during periods of strong growth suppression (Speer, 2010). Rings were measured using a TA Unislide Velmex (0.002 mm precision; Velmex Inc., Bloomfield, NY) for cores collected in 2011 and 2016. For cores collected in 2017, we used WinDENDRO (Windendro, Regent Instruments Inc., Québec, Canada), having verified that these methods gave extremely similar results. Ring width measurements were crossdated and verified using the program COFECHA (Holmes, 1983). We built crossdated chronologies for all species contributing more than 1% of ANPP<sub>stem</sub> (n=12) and two additional species, *Pinus strobus* and *Fraxinus nigra* (Table 2), which ranked 18th and 20th in terms of productivity contributions. Species in the top 20 contributors to ANPP<sub>stem</sub> for which we were unable to develop accurate chronologies included Acer rubrum, Platanus occidentalis, Tilia americana, Nyssa sylvatica, Cercis canadensis, and Ulmus rubra, which each contributed <0.5% of ANPP<sub>stem</sub> and ranked 13<sup>th</sup>-17<sup>th</sup> and 19<sup>th</sup> in productivity, respectively. Because we were interested in ecosystem-level climate sensitivity, all trees were included in our final chronology; that is, we included trees whose correlations to the master chronology for its species were lower than series typically used for other dendro-ecological applications, such as climate reconstructions. We did not include cores from our chronologies if they were degraded by decay or damaged such that deciphering ring boundaries increased uncertainty in crossdating accuracy. Chronologies of trees cored live and dead were pooled following analyses showing similar climate sensitivity at least up to 2009 (i.e., excluding 7-8 years prior to death) for all four species with ≥10 cores in each category (LITU, QURU, QUVE, FRAM; comparison plots available at https://github.com/SCBI-ForestGEO/climate sensitivity cores/tree/master/results/live vs dead).

To remove or reduce nonclimatic factors related to growth (e.g., geometric constraints on
ring width) or the influences of stand dynamics (e.g., individual tree growth release and
suppression), all ring-width series for each species were standardized via ARSTAN using a 2/3 n
spline, where n is the number of years in each series (Cook, 1985; Cook & Kairiukstis, 1990).
We also tested a 50-year fixed width spline, which gave extremely similar results, indicating that
our findings are not sensitive to variable- versus fixed-width detrending. The influence of
outliers in all series were reduced using the adaptive power transformation, which also stabilizes
the variance over time (Cook & Peters, 1997). Next, each series was stabilized using either the
average correlation between raw ring-width series (rbar) method or a 1/3rds spline method to
adjust changes in variance as series replication decreased towards the earlier portion of each
chronology (Jones et al., 1997). The 1/3rds spline method was chosen when replication in the
inner portion of each chronology (ca. the inner 30-50 years of each record depending on full
chronology length) dropped below three trees. Once that step was complete, a robust biweight
mean chronology for each species was calculated from the ring-width indices (Cook, 1985). We
chose to use residual chronologies because the autoregressive standardization process in creating
them removes much of the tree-level autocorrelation in growth and these chronologies most
likely contains the most conservative information on drivers of interannual growth (Cook, 1985)
We defined the chronology start date as the year the subsample signal strength (SSS) exceeded
0.75 (Table 2; Supporting Information Fig. S3b), and the end date as 2009 (thereby buffering
mortality events by 7-8 years). We used SSS instead of the expressed population signal (EPS)
because EPS can be saturated with high series replication (Buras, 2017) and we were interested
in climatic sensitivity, not 'quality of the tree-ring chronology' per the traditional paleoclimatic
approach.

## Analyses

We characterized the sensitivity of growth to monthly variation in ten climate variables (Table 1) from 1901 (or chronology start date, as late as 1938; Supporting Information Table S1) to 2009 and over three 30-year periods covering the last 90 years of this time period (1920-49, 1950-79, 1980-2009). The years 1901-1919 were excluded from the analysis of shorter time periods because the chronologies of several species, including the dominant Liriodendron tulipifera, did not reach SSS  $\geq$ 0.75 until 1910-20.

Climate data were obtained from the ForestGEO Climate Data Portal (https://github.com/forestgeo/Climate) in August 2018, including Climatic Research Unit monthly data for eight variables from 1901 to 2016 (CRU TS v. 4.01; Harris, Jones, Osborn, & Lister, 2014) and NOAA Divisional Data's Palmer Drought Severity Index (PDSI) from 1895 to 2017. Atmospheric CO<sub>2</sub> data, used as context for comparing the two time periods, was obtained from a publicly available NASA data set that includes historical ice core data and NOAA ESLR atmospheric data (Etheridge et al., 1996; Keeling & Whorf, 2012; National Aeronautics and Space Administration, 2017; NOAA/ESRL/Global Monitoring Division, 2018). Analyses of climate—growth relationships were conducted using 'dplR' (Bunn, 2008) and 'bootRes' (Zang & Biondi, 2013) in R v. 3.5.1 (R Core Team, 2017), which correlation functions and bootstrapped confidence intervals for the relationships between annual growth and monthly climate variables following Biondi & Waikul (2004).

To scale from the species-level chronologies to the whole stand, we first developed species-specific allometries between the average tree-ring based radial growth increment from 2007 to 2009 and DBH measured in the 2008 census (Supporting Information Table S2). We

then applied these allometries to predict radial increment for every stem ≥10 cm DBH (focal species), filling in 2008-13 census growth measurements for species for which we did not have chronologies (3% of ANPP<sub>stem</sub>). Next, we combined our radial growth estimates with speciesspecific allometries to estimate tree biomass growth and ANPP<sub>stem</sub>. Estimates of ANPP<sub>stem</sub> for the entire stand and for each species were compared to estimates derived from 2008-2013 census data, indicating close correlation (R<sup>2</sup>>0.99; Supporting Information Table S3). Finally, we estimated the change in ANPP<sub>stem</sub> that would be expected under a +1 SD increase (calculated for 1901-2009) in the monthly values for each climate variable ( $\triangle ANPP_{stem}$ ). Pearson correlations between climate and each tree-ring chronology were converted to linear slopes as in (Charney et al., 2016) and then used to predict the change in radial increment for a 1 SD increase in each monthly climate variable. This coefficient was expressed relative to mean radial increment for the species (Table S1) to calculate percent change under +1 SD of the climate variable. Radial increment under a +1 SD increase in the climate variable was then predicted by applying this % change to the radial increment predicted from allometry. For species without chronologies, we assumed no climate response. Although unrealistic, this assumption is likely to have minimal impact on our estimates of ANPP<sub>stem</sub> given the small contribution of these species to plot-level

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267	$ANPP_{stem}$ (2.6%; Table 2). We computed $\Delta ANPP_{stem}$ as the difference between $ANPP_{stem}$ under
268	altered climate and that derived for baseline climate conditions, in both cases calculating
269	$ANPP_{stem}$ as described above.
270	All data, R code, and results are available through the SCBI-ForestGEO organization on
271	GitHub (https://github.com/SCBI-ForestGEO: SCBI-ForestGEO-Data and
272	climate_sensitivity_cores repositories), with static versions corresponding to data and analyses
273	presented here archived in Zenodo (DOIs: 10.5281/zenodo.2649302 and
274	10.5281/zenodo.2656633, respectively).
275	
276	Results
277	In total, our chronologies consisted of 728 trees from 14 species and 7 genera with 16 -
278	109 cores per species (Table 2). From 2008 to 2013, these species represented 97.4% of
279	ANPP <sub>stem</sub> (with recruitment) for stems ≥10 cm DBH (2.83 Mg C ha <sup>-1</sup> yr <sup>-1</sup> ; Table 2), or 96.9% of
280	$ANPP_{stem}$ for all stems $\geq 1$ cm DBH (2.87 Mg C ha <sup>-1</sup> yr <sup>-1</sup> ).
281	The 14 species had fairly consistent inter-annual signals and differed modestly in their
282	variability (Fig. 1). Correlations between species-level residual chronologies averaged 0.41 and
283	ranged from 0.86 between Quercus alba and Q. montana to -0.032 between Fraxinus americana
284	and Pinus strobus (Supporting Information Fig. S4). Correlations between the dominant species,
285	Liriodendron tulipifera, and other species averaged 0.44 and ranged from 0.19 (Fraxinus nigra)
286	to 0.63 (Carya covalis). Standard deviations of the ring width index averaged 0.136 and ranged
287	from 0.10 (Quercus rubra, Fraxinus americana) to 0.18 (Juglans nigra, Carya tomentosa; Table
288	S1).
289	Over the centennial time scale, species' climate responses were broadly indicative of
290	water-, as opposed to energy-, limitation (Figs. 1-2, Supporting Information Figs. S5-S14, Tables
291	S4-13). Species generally responded positively to current peak growing season (May-August)
292	moisture (rain day frequency, precipitation, PDSI) and cloudiness, while responding negatively
293	to temperature (max, mean, min), daily temperature range, PET, and PET-PRE. This held true
294	for 92.7% of all climate variable-month combinations, with 36% of the relationships significant
295	at p<0.05. Species also responded positively to April temperatures (max, mean, min) and PET
296	(82.1% of species-variable combinations, 11% significant). Responses to previous May-July
297	conditions tended to be opposite those of the current growing season (75.7% of species-variable-

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month combinations, 19% significant), tending to be most pronounced in *Ouercus* spp. (Figs. 2, S5-S14). However, responses to conditions in August and September of the previous growing season were more similar to those of the current growing season; growth was generally higher under cloudy, wet conditions with favorable water balance (CLD, PRE, WET, PDSI; 74.1% of species-variable-month combinations), but responses of energy variables (temperature, DTR, PET, PET-PRE) were not consistent (56.5% of species-variable-month combinations). The species deviating most commonly from the above-described patterns were the two contributing <1% of ANPP<sub>stem</sub>: Fraxinus nigra, most individuals of which grow along streams or in other persistently wet microsites, and *Pinus strobus*, a conifer and also the species with the shortest chronology (Fig. 1). The climate sensitivity of each species' growth and ANPP<sub>stem</sub>—i.e., % change in individual growth or ANPP<sub>stem</sub> for +1SD change in climate—mirrored the observed Pearson correlations while also scaling with the SD of chronologies (Fig. 2). In other words, for the same strength of correlation with a climate variable under current climate, the growth and ANPP<sub>stem</sub> of species with higher growth variability (e.g., Juglans nigra, Carva tomatosa) was more sensitive to increases in the climate variable than those with low growth variability (e.g., Quercus rubra, Fraxinus americana). Growth sensitivities ranged up to  $\sim \pm 5\%$  for each species-climate variable- month combination, but on average were much less, including for the dominant species, *Liriodendron tulipifera* (Fig. 2). While <5% is a modest response, we note that it applies to only a +1 SD change in one climate variable for one month, whereas a notably hot or dry growing season may involve changes >2 SDs over several months, resulting in a larger growth response. Scaled to the ecosystem level, ANPP<sub>stem</sub> reflected the dominant climate responses described above (Fig. 2-3)—particularly those of *Liriodendron tulipifera*, which contributed by far the most to stand-level ANPP<sub>stem</sub> (Fig. 2i). ANPP<sub>stem</sub> was generally most sensitive to conditions in the current peak growing season and to moisture of the previous May. The most influential variables (by month) included current May PET-PRE, PET, and wet day frequency,  $\pm 1$  SD variation in which affected ANPP<sub>stem</sub> by >0.05 Mg ha<sup>-1</sup> yr<sup>-1</sup> (>1.8% of total; Fig. 3). Responses were somewhat buffered relative to those of Liriodendron tulipifera, for which the strongest responses were slightly greater than  $\pm 2.5\%$ . The three 30-year time periods selected for comparison differed somewhat in climatic and atmospheric conditions. Climatically, 1950-1979 was most similar to the average conditions

329 over 1901-2009, with more drought in the early 20th century (1920-49) and more mesic 330 conditions in recent decades (Fig. 1; Supporting Information Fig. S2), Specifically, 1980-2009 331 was characterized by slightly higher than average cloud cover and PDSI and a narrower range of 332 daily temperatures, whereas the reverse was true of 1920-49 (Supporting Information Fig. S2). 333 Of the ten years with the largest difference between PET and precipitation during May-July, two occurred in 1980-2009 (ranked 8th and 10th in magnitude), with 3 each in the other time periods 334 335 (ranked  $1^{st} - 6^{th}$  in magnitude with the two largest in 1920-49; Fig. 1). Atmospherically, recent 336 decades were characterized by higher atmospheric CO<sub>2</sub> (average of 361 ppm) compared to 337 previous periods (308 ppm for 1920-49, 322 ppm for 1950-79) and the full time period (325 338 ppm). 339 Over recent decades (1980-2009), species' climate responses were more variable and less 340 pronounced compared to both the full time period (1901-2009) and the two earlier 30-year time 341 periods (1920-49, 1950-79; Fig. 4; Supporting Information Figs. S5-S14, Tables S4-13). Most 342 notably, from 1980-2009 there were fewer positive species' responses to moisture (CLD, WET, 343 PRE, PDSI) and fewer negative responses to temperatures, DTR, PET, and PET-PRE (70% of 344 species-variable-month combinations, 5% significant; compared with 83-93% in the other 3 time 345 periods; Fig. 4a). The average strength of these correlations was also notably reduced in recent 346 decades relative to earlier decades and the full 110-year time frame (Fig. 4; Supporting 347 Information Figs. S5-S14, Tables S4-13). Consistency of responses to April temperatures and 348 PET and previous May-July conditions were likewise reduced (59% and 41% with same sign of 349 correlation coefficients as the trends described above, respectively; Fig. 4a). Also, over the last 350 three decades, responses to conditions in August and September of the previous growing season 351 switched from moisture-dominated to temperature-dominated (Fig. 4a); growth was not 352 consistently higher under cloudy, wet conditions (54% of species-variable-month combinations), 353 whereas temperature and PET responses were predominantly negative (72% of species-variable-354 month combinations). 355 Similarly, ANPP<sub>stem</sub> was generally less responsive to climate over recent decades (1980-356 2009) than during 1920-49, 1950-79, or the entire 109 analysis time frame (Figs. 3,5). This 357 largely reflected the responses of *Liriodendron tulipifera* (LITU; 48% of ANPP<sub>stem</sub>; Fig. 2i), 358 which tended to have relatively weak responses to growing season climate from 1980-2009

compared to some other species (Supporting Information Figs. S5-S14), but was also consistent with the overall weakening of climate sensitivity across species (Fig. 4).

#### Discussion

In the first study to scale from species-level to the climate sensitivity of ANPP<sub>stem</sub> (ecosystem-level) in a diverse broadleaf-dominated temperate deciduous forest, we show that radial growth of 14 tree species and ecosystem-level ANPP<sub>stem</sub> respond positively to cool, moist growing season conditions for >92% of month-variable combinations over 109 years of variable climatic conditions (Figs. 1-3). These responses generally remained the same in structure across three 30-year periods, but were more variable and less pronounced during recent decades (1980– 2009) at both individual and ecosystem scales (ANPP<sub>stem</sub>; Figs. 4-5). There was also a tendency, again less consistent over recent decades, for the lagged relationship between the current year's growth and climate during the previous May-July to be opposite that of the current growing season (Figs. 2-3, 5). That is, growth was reduced by cool, moist conditions during the previous May-July. This, together with commonly negative responses to high temperatures from late summer of the preceding year through current early spring (Figs. 2-3, 5; Supporting Information Figs. S5-S7), could indicate a key role of NSC reserves. Thus, building off of species-level research, we show that ANPP<sub>stem</sub> of a humid temperate forest is also primarily limited by moisture, as opposed to temperature or sunlight, but that carbohydrate reserves from the previous year contribute to a more complex climate sensitivity.

Responses to the ten climate variables analyzed were largely consistent across species (Figs. 1-2), and therefore the climate sensitivity of *ANPP*<sub>stem</sub> (Fig. 3) reflected that of most individual species. There was a modest niche complementarity effect (Figs. 2-3), implying that, in this forest, species diversity slightly increases the stability of *ANPP*<sub>stem</sub> under fluctuating climate conditions, as has been observed in other plant communities worldwide (Isbell *et al.*, 2015; Anderegg *et al.*, 2018). However, the similar responses across species imply that biophysical constraints have bound species' climate sensitivities within a relatively narrow range and limited the potential for biodiversity to buffer substantially against climate extremes. An additional implication is that in forests such as this with relatively low topographic complexity and the majority of *ANPP*<sub>stem</sub> concentrated within several dominant species (here, 5 species

account for 80% of  $ANPP_{stem}$ ; Table 1), the climate sensitivity of  $ANPP_{stem}$  can be satisfactorily characterized based on tree-ring chronologies of those dominant species.

Forest woody productivity (*ANPP*<sub>stem</sub>) was strongly influenced by current growing season conditions, being highest under relatively cool, low-PET, cloudy conditions with frequent precipitation (Figs. 2-3). This agrees with other tree-ring studies across eastern US deciduous forests showing positive moisture responses of tree growth (Belmecheri *et al.*, 2014; Elliott *et al.*, 2015; Martin-Benito & Pederson, 2015; Charney *et al.*, 2016; Levesque *et al.*, 2017). Physiologically, the observed positive response of radial growth to wet conditions is consistent with the fact that the proximate driver of stem growth is hydraulically-driven cell expansion (Zweifel et al., 2006), with most rapid stem diameter increases on rainy days (Herrmann et al., 2016). Subsequent C sequestration associated with cell wall thickening can lag by more than a month (Cuny et al., 2015), implying that the climate sensitivities of stem growth and photosynthesis are at least partially decoupled in time within the current growing season. Thus, observations of negative responses of temperate moist deciduous forest GPP (Barford *et al.*, 2001) and sap flow (Anderson-Teixeira *et al.*, 2015b) to cool, wet conditions over time scales of days to months are not inconsistent with the observed positive response of annual stem growth to inter-annual variation in moisture.

In contrast to the negative impact of temperature during the growing season, tree growth and  $ANPP_{stem}$  responded positively to high April temperatures, indicative of thermal limitations to the start of the growing season and consistent with other tree-ring observations in the central Appalachians (Mathias & Thomas, 2018) and with findings that GPP and NEE (White *et al.*, 1999; Baldocchi *et al.*, 2018) are sensitive to growing season length. However, the influence of April temperatures on  $ANPP_{stem}$  was quite modest relative to conditions in May-August and even the previous early growing season (Fig. 3).

The relationship between current year's growth and climate prior to the current growing season is likely due to spring and early summer stem growth being partially fueled, at least in part, by NSC reserves—particularly in ring-porous species (~48% of *ANPP*<sub>stem</sub> in our plot; Table 2; Zweifel *et al.*, 2006; Michelot *et al.*, 2012). We suggest three possible mechanisms behind the observed inverse responses to past May-July conditions (Figs. 2-3, Supporting Information Figs. S5-S14). First, at this site, tree transpiration, and by extension photosynthesis, are reduced on humid, cloudy days (Anderson-Teixeira *et al.*, 2015b), whereas stem expansion is essentially

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twice as rapid on rainy days than rainless days (Herrmann et al., 2016). Thus, on the time scale of one to a few days, GPP and ANPP<sub>stem</sub> respond differentially to climatic conditions, but it remains unknown how these climate sensitivities scale to monthly or annual time scales. Second, wet conditions early in the growing season may drive greater stem expansion and, subsequently, higher C demand for cell wall thickening, potentially resulting in less surplus C for NSC storage and lower growth the following year. Finally, NSCs may be preferentially allocated to other functions (e.g., reproduction)—and away from stem growth—based on previous year growing conditions (Hacket-Pain et al., 2018). Research will be needed to test the hypotheses that NSC reserves at the beginning of the growing season may influence ANPP<sub>stem</sub> and its climate sensitivity. Regardless, the dependency of stem growth on prior climate implies that, while treering analysis is invaluable for inferring the climate sensitivity of ANPP<sub>stem</sub>, these relationships must be combined with physiological data and models to characterize climatic drivers of total forest C sequestration. In recent decades, we observed two notable shifts in the climate sensitivities of woody growth and ANPP<sub>stem</sub>. First, the consistency of the relationship between tree growth and moisture became muted compared to that found over the full 109-year record (Figs. 4-5). This weakening of the climate–growth relationship was at least partially driven by slightly more mesic conditions with fewer strong droughts (Fig. 1, Supplementary Information Fig. S2), with a similar trend noted across several sites in the Midwest US (Maxwell et al., 2016) and evidence that more mesic conditions have been associated with increased growth in the Northeast US (Levesque et al., 2017). It is also possible that elevated CO<sub>2</sub> has an interactive effect on climate sensitivity, buffering growth responses in drought years by increasing water use efficiency (Levesque et al., 2017; Mathias & Thomas, 2018), while having less or no impact on growth during wet years (Knapp et al., 2001; Soulé & Knapp, 2006; Wyckoff & Bowers, 2010; Levesque et al., 2017).

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Further research will be required to fully understand the mechanisms driving the observed

declines in the strength of climate–growth relationships. However, whatever the mechanism, this

observation is significant for our understanding of the ecophysiology of North American

temperate deciduous forests in that they appear to be less moisture-limited at present than they

449 have been in the past or are likely to be in the future (Clark et al., 2016). Current

ecophysiological research on these forests (e.g., eddy-covariance studies, extensive forest

monitoring, model parameterization and evaluation) is therefore capturing a period of low

drought stress and likely under-estimating the importance of moisture for forest ecosystem productivity.

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Second, at least on the ecosystem level ( $ANPP_{stem}$ ), the relative importance of climatic conditions prior to the start of the growing season appears to have increased, in part because of decreasing influence of current growing season conditions (Fig. 4-5). This also points to increasing decoupling between the climate sensitivities of  $ANPP_{stem}$  and GPP, implying that responses of woody growth to current growing season climatic conditions are not currently a reliable indicator of the climate sensitivity of ecosystem C sequestration.

Our results suggest that the woody productivity of forests such as ours is likely to decline under expected future climate conditions. Future projections for the region include increased temperatures (~1-5 °C by 2100, depending on emissions; IPCC, 2014), with associated increases in PET and evaporative demand likely to more than offset predicted slight ( $\leq 10\%$ ) increases in precipitation (IPCC, 2013, 2014; U.S. Global Change Research Program, 2014; Cook et al., 2015). The negative effects of high temperatures and PET on growth (Fig. 2; Supporting Information Figs. S5-S9) and ANPP<sub>stem</sub> (Fig. 3) indicate that increasing temperatures will likely reduce forest productivity by increasing evaporative demand and water limitation, aligning with the conclusions of other temperate deciduous forest tree-ring studies (e.g., Charney et al., 2016; Klesse et al., 2018). Moreover, our finding that wet day frequency had an overall greater importance than total precipitation implies that any shift in precipitation distribution into fewer, larger storms is also likely to have a negative impact on tree growth (Elliott et al., 2015). These effects could be somewhat buffered by increased water use efficiency under elevated CO<sub>2</sub>, and understanding the extent to which this may be occurring remains an important open question. While the CO<sub>2</sub> effect on growth overall may be weak in eastern US deciduous forests (Levesque et al., 2017) and globally (Peñuelas et al., 2011), this does not rule out a meaningful impact during drier years—as has been observed in other regions (Knapp et al., 2001; Soulé & Knapp, 2006; Wyckoff & Bowers, 2010). However, even if the weakening of climate–growth relationships in recent decades observed here (Figs. 4-5) is attributable to increased water use efficiency under elevated CO<sub>2</sub>, the effect is unlikely to be sufficient to prevent productivity declines under projected future climate conditions (Charney et al., 2016).

483	sometimes-subtle influence of climate on tree growth. As we show, the longer time frame can
484	reveal sensitivities that are not being detected by contemporary high-resolution forest
485	measurements (e.g., eddy flux, dendrometer bands) that serve as the basis for most forest
486	ecosystem-climate models. Importantly, the processes that appear to be governing growth in this
487	study are not well represented in current models, most of which forecast an overall enhancement
488	of forest productivity with projected climate change (Albani et al., 2006; Ollinger et al., 2008;
489	Duveneck & Thompson, 2017). We have yet to characterize interactive effects among climate
490	variables (Foster et al., 2016) or variables known to influence climate sensitivity, including tree
491	size (Bennett et al., 2015), canopy position (Teets et al., 2018b), topographic position (Elliott et
492	al., 2015), and competition (D'Amato et al., 2013). Incorporating these factors in future analyses
493	will further strengthen predictions of $ANPP_{stem}$ and its responses to climate variability and
494	change.
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KAT, RH, AT, and NP designed the research. Cores were collected and measured by JM (2010-

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- 506 11), VM (2016), and RH (2017) under guidance of JRT (2010-11), KAT and AT (2016-17).
- 507 Chronologies were developed by RH under guidance of AT and NP. Data analyses were
- performed by Performed by VH under guidance of KAT, AT, and NP. KAT, RH, AT, and NP
- interpreted the results. RH and KAT wrote the first draft of manuscript, and all authors (RH,
- 510 KAT, AT, NP, JM, VM, JRT & VH) contributed to revisions.

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## **Supporting Information**

- Additional supporting information may be found in the online version of this article.
- **Figure S1** Map of trees cored in the 26-ha SCBI ForestGEO plot and analyzed in this study.
- Figure S2 Monthly means  $\pm$  SD of climate variables over the four time frames analyzed here
- **Figure S3** Numbers of cores and SSS through time for chronologies analyzed here.
- **Figure S4** Matrix of correlation between species chronologies.
- **Figure S5** Species responses to TMX.
- **Figure S6** Species responses to TMP.
- **Figure S7** Species responses to TMN.
- **Figure S8** Species responses to DTR.
- **Figure S9** Species responses to PET.
- **Figure S10** Species responses to PET-PRE.
- **Figure S11** Species responses to PDSI.
- **Figure S12** Species responses to PRE.
- **Figure S13** Species responses to WET.
- **Figure S14** Species responses to CLD.
- **Table S1** Chronology details, including n cores and chronology statistics.
- **Table S2** Species-specific allometries between radial increment and DBH
- **Table S3** Comparison of ANPP\_stem estimates from census data and cores.
- **Table S4** Summary of Pearson correlations with TMX for all species.
- **Table S5** Summary of Pearson correlations with TMP for all species.
- **Table S6** Summary of Pearson correlations with TMN for all species.
- **Table S7** Summary of Pearson correlations with DTR for all species.

- **Table S8** Summary of Pearson correlations with PET for all species.
- **Table S9** Summary of Pearson correlations with PET-PRE for all species.
- **Table S10** Summary of Pearson correlations with PDSI for all species.
- **Table S11** Summary of Pearson correlations with PRE for all species.
- **Table S12** Summary of Pearson correlations with WET for all species.
- **Table S13** Summary of Pearson correlations with CLD for all species.

Table 1. Climate variables analyzed here, along with their January and July means.

1901	-	2009	mean	土	SD

Variable	Code	Units	January	July
average daily maximum temperature average daily mean temperature	TMX TMP	°C °C	$7.0 \pm 2.7$ $1.1 \pm 2.6$	$31.2 \pm 1.2$ $24.2 \pm 1.0$
average daily minimum temperature	TMN	°C	$-4.8 \pm 2.5$	$17.3 \pm 0.9$
diurnal temperature range	DTR	°C	$11.7 \pm 0.9$	$13.9 \pm 1.1$
potential evapotranspiration potential evapotranspiration -	PET-	mm day-1	$1.3 \pm 0.2$	$4.4 \pm 0.4$
precipitation	PRE	mm mo <sup>-1</sup>	$-31.2 \pm 35.3$	$31.4 \pm 44.5$
Palmer Drought Severity Index*	PDSI	-	$-0.19 \pm 2.01$	$0.08 \pm 1.94$
precipitation	PRE	mm mo <sup>-1</sup>	$71 \pm 33$	$104 \pm 37$
wet day frequency	WET	mo <sup>-1</sup>	$12.8 \pm 2.7$	$11.2 \pm 1.9$
cloud cover	CLD	%	$69 \pm 3$	$62 \pm 6$

<sup>\*</sup>Higher values indicate wetter conditions. Values were pre-whitened for analysis.

Table 2. Species analyzed here, their contributions to aboveground woody net primary productivity ( $ANPP_{stem}$ ) within the Smithsonian Conservation Biology Institute (SCBI) ForestGEO plot (stems  $\geq$ 10cm; 2008-2013), and key features of their chronologies.

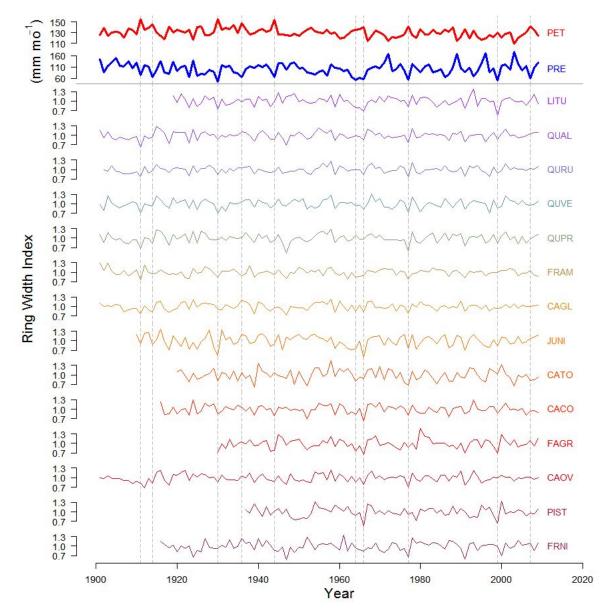
			<u>ANPI</u>	<u>Stem</u>		Chronology	
	Species	Ring	Mg C *		n	$\overline{DBH}_{2008} **$	SD of
Species	code	porosity	ha-1 yr-1	%	cores	(min, max)	RWI <sup>†</sup>
Liriodendron tulipifera	LITU	diffuse	1.349	47.61	109	32.7 (10.0, 97.6)	0.14
Quercus alba	QUAL	ring	0.305	10.77	66	42.9 (11.3, 76.7)	0.12
Quercus rubra	QURU	ring	0.288	10.17	71	49.4 (10.1, 137)	0.10
Quercus velutina	QUVE	ring	0.217	7.66	83	50.6 (16.0, 109)	0.13
Quercus montana	QUPR	ring	0.136	4.80	67	38.1 (10.2, 84.6)	0.14
Fraxinus americana	FRAM	ring	0.107	3.77	69	33.3 (5.8. 93.0)	0.10
Carya glabra	CAGL	ring	0.103	3.62	39	26.6 (10.2, 52.3)	0.10
Juglans nigra	JUNI	semi- ring	0.060	2.12	31	43.4 (20.4, 76.2)	0.18
Carya tomentosa	CATO	ring	0.055	1.95	17	24.0 (12.0, 44.4)	0.18
Carya cordiformis	CACO	ring	0.055	1.93	18	23.9 (10.4, 60.5)	0.13
Fagus grandifolia	FAGR	diffuse	0.040	1.43	81	19.9 (10.1, 103)	0.15
Carya ovalis	CAOVL	ring	0.031	1.10	24	32.9 (15.1, 60.3)	0.11
Pinus strobus	PIST	-	0.007	0.25	36	28.8 (15.0, 51.0)	0.16
Fraxinus nigra	FRNI	ring	0.005	0.18	16	19.4 (6.9, 38.4)	0.15
all other species	-	-	0.075	2.64	-	-	-

<sup>\*</sup> Mg C ha<sup>-1</sup> yr<sup>-1</sup> refers to Megagrammes (or tonnes) of Carbon per Hectare per Year

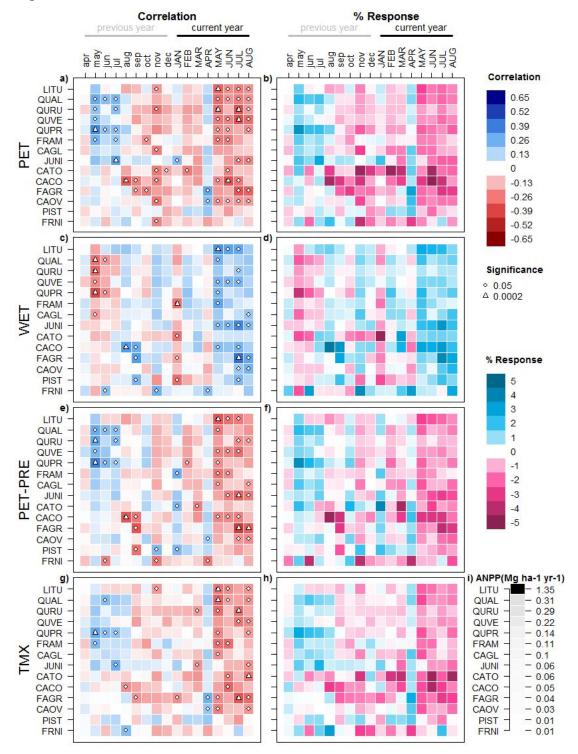
782 \*\*  $\overline{DBH}_{2008}$  refers to the mean Diameter at Breast Height of tree species in 2008 783 † SD of RWI refers to the Standard Deviation of Ring-Width Index 784 785 786 **Figure Legends** 787 788 Figure 1. Residual chronologies of 14 tree species, including the 12 largest contributors to 789 Aboveground woody Net Primary Productivity (ANPP<sub>stem</sub>) in the Smithsonian Conservation 790 Biology Institute (SCBI) ForestGEO plot, from 1901-2009. Also shown is mean May-July 791 potential evapotranspiration (PET; mm mo<sup>-1</sup>) and precipitation (PRE; mm mo<sup>-1</sup>), with dashed 792 vertical lines indicating the ten years in which the difference between the two was greatest 793 (1911: 83mm, 1914: 82mm, 1930: 112mm, 1936: 85mm, 1944: 89mm; 1964: 84mm, 1966: 794 83mm, 1977: 87mm, 1999: 80mm, 2007: 82mm). Species are shown in descending order of their 795 contributions to ANPP<sub>stem</sub>. Chronologies are shown starting when Subsample Signal Strength 796 (SSS) ≥0.75 (Table S1). Refer to Table 2 for species specific code information. 797 798 Figure 2. Species' responses to four of the most influential climate variables analyzed here— 799 potential evapotranspiration (a,b) wet day frequency (c,d), the difference between potential 800 evapotranspiration and precipitation (e,f), and maximum temperature (g,h). Shown are Pearson 801 correlations between ring width index and monthly climate variables (left panel) and percent 802 response of growth and Aboveground woody Net Primary Productivity (ANPP<sub>stem</sub>) to +1 Standard Deviation (SD) in the climate variable (right panel). Also shown is ANPP<sub>stem</sub> of each 803 804 species (i). Refer to Table 2 for species specific code information. 805 806 Figure 3. Sensitivity of Aboveground woody Net Primary Productivity (ANPP<sub>stem</sub>) to 10 climate 807 variables from 1901-2009. Shown is change in ANPP<sub>stem</sub> (Mg C ha<sup>-1</sup> yr<sup>-1</sup> and % of total) with +1 808 Standard Deviation (SD) in climate variable, as predicted based on the responses of individual 809 species and the 2008 census of the Smithsonian Conservation Biology Institute (SCBI) 810 ForestGEO plot.

812	Figure 4. Comparison of the (a) consistency and (b) average strength of climate correlations
813	across the time periods analyzed here. Panel (a) shows the percent of species-climate variable-
814	month combinations for which Pearson correlations are positive for moisture variables (PRE,
815	WET, PDSI, CLD) and negative for energy variables (TMAX, TMP, TMIN, DTR, PET, PET-
816	PRE) in each of 3 time periods: previous year's early growing season (mjj), previous year's late
817	growing season (as), and current peak growing season (MJJA). Panel (b) shows the mean
818	absolute correlation of growth to several climate variables of all species over MJJA. Refer to
819	Table 1 for explanations of moisture and energy variables.
820	
821	Figure 5. Predicted response of Aboveground woody Net Primary Productivity $(ANPP_{stem})$ to $+1$
822	Standard Deviation (SD) change in 10 climate variables, as predicted based on the responses of
823	individual species over three 30-year time periods and the 2008 census of the Smithsonian
824	Conservation Biology Institute (SCBI) ForestGEO plot.

Figure 1.

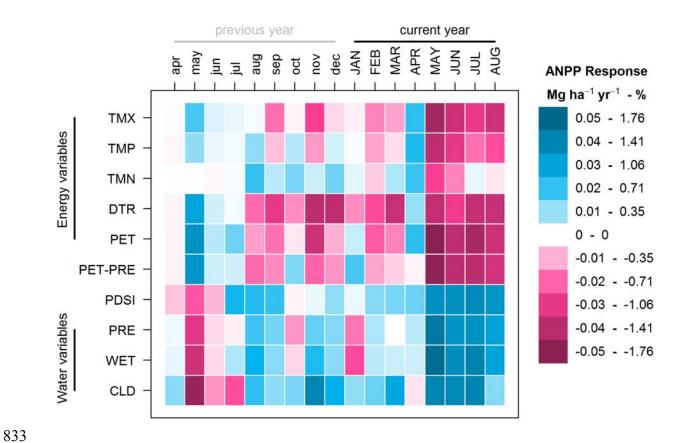


## 829 Figure 2.

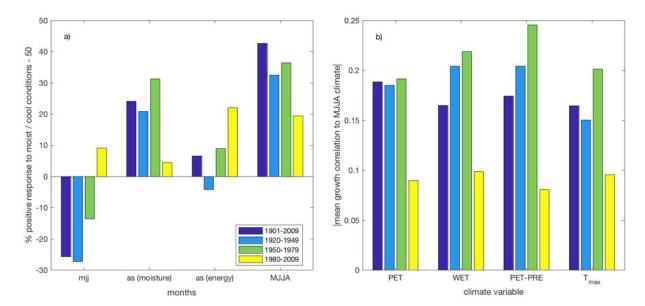


831 Figure 3.

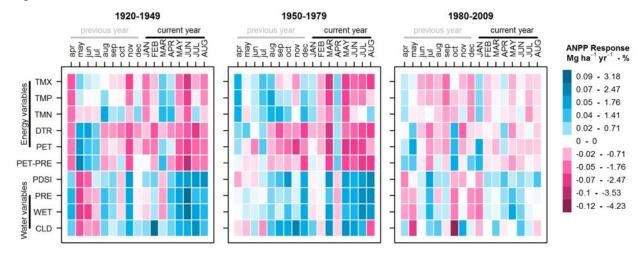
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# 835 Figure 4.



## 838 Figure 5.



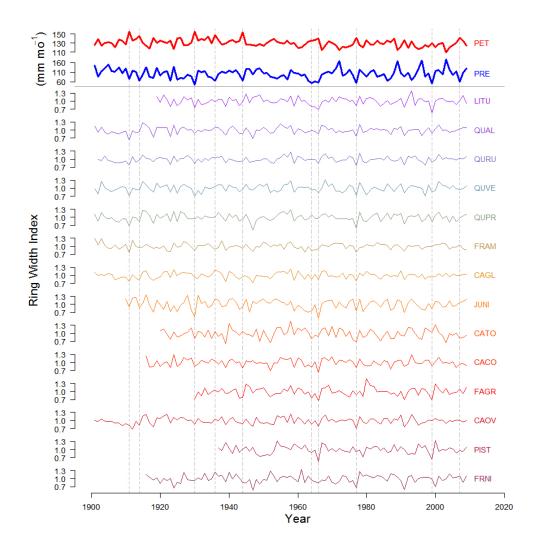


Figure 1. Residual chronologies of 14 tree species, including the 12 largest contributors to Aboveground woody Net Primary Productivity (ANPPstem) in the Smithsonian Conservation Biology Institute (SCBI) ForestGEO plot, from 1901-2009. Also shown is mean May-July potential evapotranspiration (PET; mm mo-1) and precipitation (PRE; mm mo-1), with dashed vertical lines indicating the ten years in which the difference between the two was greatest (1911: 83mm, 1914: 82mm, 1930: 112mm, 1936: 85mm, 1944: 89mm; 1964: 84mm, 1966: 83mm, 1977: 87mm, 1999: 80mm, 2007: 82mm). Species are shown in descending order of their contributions to ANPPstem. Chronologies are shown starting when Subsample Signal Strength (SSS) ≥0.75 (Table S1). Refer to Table 2 for species specific code information.

149x149mm (150 x 150 DPI)

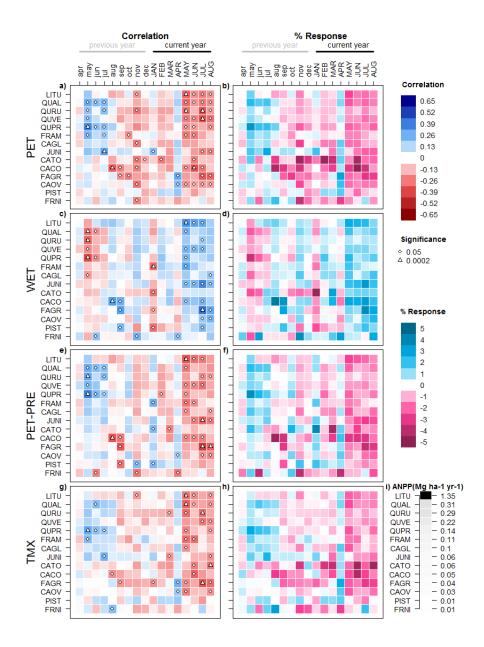


Figure 2. Species' responses to four of the most influential climate variables analyzed here—potential evapotranspiration (a,b) wet day frequency (c,d), the difference between potential evapotranspiration and precipitation (e,f), and maximum temperature (g,h). Shown are Pearson correlations between ring width index and monthly climate variables (left panel) and percent response of growth and Aboveground woody Net Primary Productivity (ANPPstem) to +1 Standard Deviation (SD) in the climate variable (right panel). Also shown is ANPPstem of each species (i). Refer to Table 2 for species specific code information.

139x189mm (150 x 150 DPI)

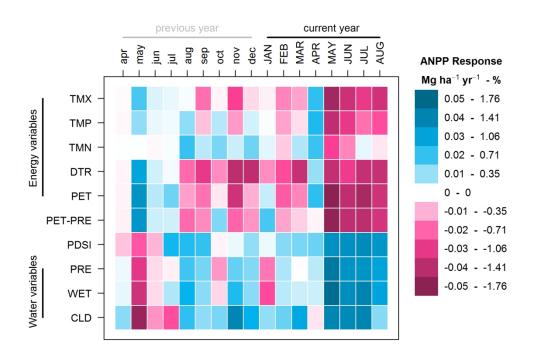


Figure 3. Sensitivity of Aboveground woody Net Primary Productivity (ANPPstem) to 10 climate variables from 1901-2009. Shown is change in ANPPstem (Mg C ha-1 yr-1 and % of total) with +1 Standard Deviation (SD) in climate variable, as predicted based on the responses of individual species and the 2008 census of the Smithsonian Conservation Biology Institute (SCBI) ForestGEO plot.

168x119mm (300 x 300 DPI)

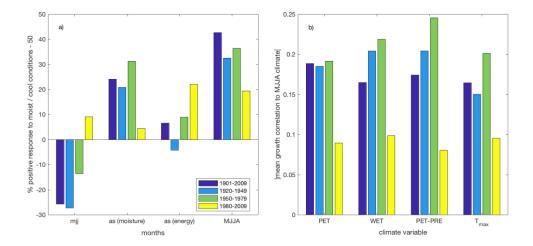


Figure 4. Comparison of the (a) consistency and (b) average strength of climate correlations across the time periods analyzed here. Panel (a) shows the percent of species-climate variable-month combinations for which Pearson correlations are positive for moisture variables (PRE, WET, PDSI, CLD) and negative for energy variables (TMAX, TMP, TMIN, DTR, PET, PET-PRE) in each of 3 time periods: previous year's early growing season (mjj), previous year's late growing season (as), and current peak growing season (MJJA). Panel (b) shows the mean absolute correlation of growth to several climate variables of all species over MJJA. Refer to Table 1 for explanations of moisture and energy variables.

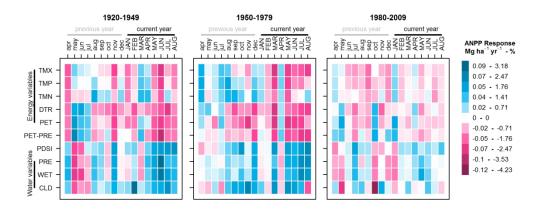


Figure 5. Predicted response of Aboveground woody Net Primary Productivity (ANPPstem) to +1 Standard Deviation (SD) change in 10 climate variables, as predicted based on the responses of individual species over three 30-year time periods and the 2008 census of the Smithsonian Conservation Biology Institute (SCBI) ForestGEO plot.

179x69mm (300 x 300 DPI)