

1 **Climate warming effects on photosynthesis in boreal tree species depend on soil moisture**

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24 **Climate warming will influence photosynthesis via thermal effects and by altering**
25 **soil moisture¹⁻¹¹. Both effects may be important for the vast areas of global forests that**
26 **fluctuate between periods when cool temperatures limit photosynthesis and periods when**
27 **soil moisture may be limiting to carbon gain^{4-6, 9-11}. Here we show that effects of climate**
28 **warming flip from positive to negative as southern boreal forests transition from rainy to**
29 **modestly dry periods during the growing season. In a three-year open-air warming**
30 **experiment with juveniles of 11 temperate and boreal tree species, +3.4 °C warming**
31 **increased light-saturated net photosynthesis (A_{net}) and leaf diffusive conductance (g_s) on**
32 **average on the one-third of days with the wettest soils. In all 11 species g_s , and as a result**
33 **A_{net} , decreased during dry spells, and did so more sharply in warmed than ambient plants.**
34 **Consequently, across the 11 species, warming reduced A_{net} on the two-thirds of days with**
35 **driest soils. Thus, low soil moisture may reduce, or even reverse, potential benefits of**
36 **climate warming on photosynthesis in mesic, seasonally cold environments, both during**
37 **drought and in regularly occurring, modestly dry portions of the growing season.**

38 A changing climate will influence plants by altering temperature, precipitation, and soil
39 moisture, as well as their variability and seasonality¹⁻¹¹. In temperate and boreal climates,
40 temperatures swing seasonally from cold (and limiting to biological processes) to warm and
41 periodically dry when moisture can be limiting^{2-6, 9-11}. Both the ‘law of the minimum’ and
42 multiple limitation theory¹²⁻¹⁴ provide a conceptual basis for predicting climate warming
43 interactions with soil moisture. While higher temperatures may alleviate enzymatic limits to the
44 biochemistry of photosynthesis, realized rates of CO₂ assimilation may decrease if and when low
45 soil water causes stomatal closure and limits the CO₂ substrate for photosynthesis. As growing
46 season conditions in temperate and boreal forests are likely to become effectively drier than in

47 the past^{3, 8-9}, because climate warming will increase evapotranspiration more than precipitation^{3,9}
48 and increase precipitation variability^{1, 9}, the importance of water availability to climate responses
49 may grow larger in the future^{3-6, 9-11, 15-18}.

50 Mid- and high-latitude plants will therefore likely experience both positive and negative
51 effects of climate warming on photosynthesis within and across years—positive when soil
52 moisture is ample but negative when soils are drier^{4-6, 9-11, 15-17}. Whether such effects are in
53 aggregate positive or negative likely depends on the balance of time that warming alleviates low
54 temperature limitations to plant function *versus* causes limitations to function through decreased
55 soil moisture. However, direct tests of the effects of climate warming across a range of soil
56 moisture conditions, caused by seasonal or interannual variation or by manipulations of
57 temperature or moisture, are rare, and it remains unclear how plant responses to climate warming
58 will be influenced by these indirect soil moisture effects^{4-6, 9-11, 16-18}.

59 Herein we provide evidence from 11 co-occurring boreal and temperate tree species (Fig.
60 1) in support of the overarching hypothesis that low soil moisture status has a dampening effect
61 on photosynthetic enhancement that results from experimental warming. This moisture
62 regulation of the response to climate warming was consistent for all 11 species and occurred in
63 response to reductions in soil moisture due to typical seasonal variation and in response to
64 further reductions in soil moisture due to experimental warming. Results are from the free-air
65 B4WarmED experiment¹⁹⁻²² where juveniles (three-to-five years old at time of measurements) of
66 local ecotypes of the 11 tree species were grown under ambient and seasonally elevated (+3.4
67 °C, April-November) temperatures from 2009 to 2011 at two southern boreal sites in Minnesota,
68 USA (Extended Data Table 1, see Methods). The 11 species co-occur in forests in northern
69 Minnesota, but five are boreal with southern range limits in or near Minnesota and six are

70 temperate with northern range limits not far north of the Minnesota-Canada border¹⁹.
71 Fluctuations in soil moisture levels (volumetric water content, $\text{cm}^3 \text{H}_2\text{O}/\text{cm}^3 \text{soil}$, VWC) occurred
72 at both sites and in all years (Extended Data Fig. 1, Extended Data Table 2), and spanned from
73 0.27 to 0.05 VWC , representing a range from slightly wetter than field capacity to slightly drier
74 than the permanent wilting point ($\approx -1.5 \text{ MPa}$) for these sandy loam soils^{23,24}.

75 All species responses were consistent with the hypothesis that effects of experimental
76 warming on carbon gain would be less positive or more negative during periods of low soil
77 moisture (Fig. 1, Table 1, Extended Data Table 3). In moist soils, all angiosperm species (and no
78 gymnosperms) showed higher maximum carboxylation capacity at 25°C ($V_{cmax-25}$) in warmed
79 than ambient conditions (Extended Data Fig. 3), helping to explain their higher A_{net} in warmed
80 plants when soil water limitations were modest (Fig. 1). Every species showed marked
81 sensitivity of A_{net} to drying soil moisture (Fig. 1). More germane to our overarching hypothesis,
82 A_{net} in all species declined more steeply with decreasing soil moisture in warmed than ambient
83 conditions (Fig. 1); hence, when compared at a common soil moisture, plants showed the most
84 positive (or least negative) effects of experimental warming on A_{net} when soil moisture
85 availability was high, whereas positive effects declined (or negative effects grew) as soil
86 moisture availability declined (Fig. 1).

87 In other words, we found a significant interaction between the warming treatment and
88 VWC for A_{net} (Table 1; $F_{1,553} = 40.9$, $P < 0.0001$) in a model that included warming treatment,
89 species, VWC , and two other environmental drivers (leaf temperature, T_{leaf} , and vapor pressure
90 gradient, VPG). Moreover, although species differed from each other in A_{net} , they did not differ
91 in how VWC influenced their response to warming (no warming x soil moisture x species
92 interaction, Table 1; $F_{10,1797} = 1.2$, $P = 0.30$). Hence, species whose growth was enhanced (e.g.,

93 *Acer*, *Quercus*) or reduced (e.g., *Abies*, *Picea*) under climate warming¹⁹ were similar in terms of
94 how their photosynthetic responses to warming were shaped by soil moisture availability. When
95 analyses were made for every species independently, the slope of A_{net} to VWC was always
96 steeper in warmed than in ambient plants (Fig. 1, Extended Data Table 3), and the interaction of
97 warming x VWC was significant ($P < 0.05$ in 10 species, $P = 0.10$ in the other).

98 Additionally, and as expected because of greater evaporative gradients from warmed
99 plants and soils to the atmosphere^{3, 8, 9, 20}, the warming treatment reduced soil moisture (Extended
100 Data Fig. 1). Thus, on any given day, warmed plants operated at lower soil moisture levels than
101 ambient plants, moving them to a lower VWC on the A_{net} - VWC relationship than ambient plants.
102 This is illustrated by arrows showing the average VWC of ambient and warmed plants in Fig. 1.

103 Paralleling the response of A_{net} , leaf diffusive conductance (g_s) declined in drying soils;
104 and was generally equal or greater in warmed than ambient plants in moist soils, but similar or
105 lower in warmed than ambient plants in dry soils (Fig. 2). Moreover, the relationship of g_s to
106 VWC had a steeper slope in the warmed than ambient treatment (Fig. 2, Table 1), just as for A_{net}
107 (Fig. 1). Evidence suggests that the changes in g_s contributed to the shrinking positive effect of
108 warming on A_{net} as soil water availability declined (Fig. 1). First, g_s declined proportionally more
109 than A_{net} with increasing soil water deficits (i.e., A_{net}/g_s was greater in drier than wetter soils in
110 every species) and the increase in A_{net}/g_s with decreasing soil moisture was larger in warmed
111 compared to ambient plants. Such patterns are consistent with increasing stomatal limitation to
112 A_{net} in drier soils and with greater stomatal limitation in warmed than ambient plants in drier
113 soils. Second, corroborating this, quantitative estimates of the percent limitation of A_{net} by
114 stomatal conductance^{25, 26} (rather than by biochemical limitations), also increased more steeply
115 with declining VWC in warmed than ambient plots (Extended Data Figure 4).

116 A key question is the degree to which the different responses of g_s and A_{net} to VWC for
117 plants in the contrasting warming treatments were influenced by effects of treatments on, or by
118 ambient variation in, other environmental factors such as T_{leaf} and VPG . VWC was very weakly
119 positively correlated with leaf temperature (T_{leaf}) and unrelated to VPG across all measurement
120 dates (Extended Data Figure 2); thus low soil moisture effects were not confounded by high VPG
121 or high T_{leaf} in this data set. The differential response of g_s to VWC in warmed versus ambient
122 plants was independent of either VPG or T_{leaf} (no three-way interactions, Table 1). The greater
123 decline of A_{net} with decreasing VWC in warmed than ambient plants was slightly steeper at
124 higher levels of T_{leaf} and VPG (illustrated by three-way interactions for A_{net} of warming
125 treatment, VWC and either T_{leaf} or VPG , Table 1), but was apparent regardless of VPG or T_{leaf}
126 (Extended Data Figure 5). Although the relationship of g_s (but not A_{net}) to VPG was non-linear,
127 replacing VPG with $\log(VPG)$ in models in Table 1 only marginally influenced results and did
128 not show any interaction of treatment $\times \log(VPG) \times VWC$, suggesting that non-linearity of VPG
129 effects did not mask important interactions in the mixed models. Recent work has shown that
130 under present and projected future climate conditions, canopy surface conductance and
131 evapotranspiration in many biomes, including mesic forests, may be limited by both high vapor
132 pressure deficit (closely related to VPG) and low soil water availability². Our results are
133 consistent with that, as low VWC and high VPG independently constrained A_{net} and g_s (Extended
134 Data Figure 5).

135 It is also useful to view these results in the context of the temperature response functions
136 of A_{net} . For both well-hydrated²¹ and *in situ* (Extended Data Figure 2) leaves, the broad
137 temperature optima (T_{opt}) of A_{net} for these species was ≈ 22 - 27 °C. As plants were measured
138 across a wide range of T_{leaf} (95% fell between 13.7 and 36.8 °C, Extended Data Fig. 2), roughly

139 one-third of ambient treatment measurements were made below T_{opt} (e.g. $T_{leaf} < 22$ °C) and
140 another third were made above T_{opt} (e.g. > 29 °C). Warming by +3.4 °C should have alleviated
141 low temperature limitation for the former and exacerbated high temperature limitations for the
142 latter. The remaining measurements were made when T_{leaf} was near T_{opt} (i.e. ≈ 22 - 29 °C
143 range). More influential to the results was that non-optimal VWC induced stomatal closure (Fig.
144 2), causing a high proportion of leaves to photosynthesize below their capacity at any given T_{leaf}
145 (Extended Data Figs. 2, 4).

146 Results above clearly demonstrate a more pronounced decline in A_{net} with decreasing
147 VWC in warmed than ambient plants - congruent with climate-warming stimulation of A_{net} in
148 moist soils and depression of A_{net} in dry soils - and that a more pronounced increase in stomatal
149 limitation of A_{net} of warmed plants played a role. But why was the shift with declining VWC
150 from biochemically to stomatally limited photosynthesis steeper in warmed than ambient plants
151 of all species (Extended Data Figure 4)? We posit, from several lines of evidence, that a
152 combination of factors drove these responses (Extended Data Figure 6).

153 In moist soils, angiosperm species had strong increases in A_{net} and g_s in warmed
154 conditions likely because of both higher carboxylation capacity (greater $V_{cmax-25}$ in warmed
155 conditions, Extended Data Figure 3) and higher carbon demand for photosynthate²⁸, as they grew
156 23% faster on average in warmed than ambient conditions¹⁹. In drier soils, increased stomatal
157 limitation eliminated most of the potential gain that higher $V_{cmax-25}$ might deliver (Extended Data
158 Figs. 3,6), and perhaps eliminated any warming-induced increase in carbon sink strength.
159 Warmed angiosperm plants also likely had higher dark respiration in the light (as their dark
160 respiration was 20% higher than that of ambient plants²²) and higher photorespiration²⁷ at all
161 VWC levels (Extended Data Figure 6).

162 The responses of gymnosperms were similar, except that changes in $V_{cmax-25}$ with
163 warming were less positive even in moist soils; additionally, a negative overall growth response
164 (-26% growth response on average¹⁹) to warming, coupled with more negative warming effects
165 on carbon gain when soils were dry, suggests a small warming-induced increase in C sink
166 strength at best when soils were wet and a larger decline when soils were dry (Extended Data
167 Figure 6). Collectively these factors likely contributed to making the responses of gymnosperms
168 to warming more negative than that of angiosperms at every VWC level.

169 Overall, the likely mechanisms suggest that warmed plants did not have greater stomatal
170 sensitivity to soil water deficits *per se*. Instead, under moist conditions, biochemical limitations
171 to photosynthesis were dominant or co-dominant (Extended Data Figure 4) and warmed plants
172 had a photosynthetic advantage because of less biochemical limitation (i.e., higher realized
173 V_{cmax}), whereas under drier conditions, stomatal limitations became dominant, and any advantage
174 of warming disappeared (and in driest soils, became a liability).

175 The net effect (across the growing season) of warming on photosynthetic carbon gain
176 would be determined by both the shifting effect of warming on A_{net} as it varied with soil water
177 status and the effect of climate warming on soil water status itself. Figure 1 shows the response
178 of warmed vs. ambient plants across all levels of soil moisture, i.e., comparing the effect of
179 warming on photosynthetic processes at a common soil moisture (and typically not a common
180 date). In contrast, in Fig. 3 we show A_{net} averaged across species in warmed vs. ambient plants at
181 a common time, under conditions differing in soil moisture across time and treatments, from dry
182 to wet (representing the 5th, 25th, 50th, 75th, and 95th wettest percentiles of VWC among all
183 measurements for each treatment, Fig. 3). Although soils were usually somewhat drier in the
184 warmed treatment, the percentiles (from dry to wet) within each treatment occurred on similar

185 sets of days. Thus, Fig. 3 shows the estimated net effect of both direct physiological warming
186 impacts and indirect soil moisture impacts of warming treatments on realized average
187 photosynthetic rates, equally weighting all 11 species.

188 The warming treatment had a markedly different impact on A_{net} when soils were dry
189 rather than wet (Fig. 3). For the 11 species, warming under high soil moisture conditions (the
190 95th percentile of VWC in each treatment) increased A_{net} by 15% on average (Fig. 3). Under days
191 with drier conditions, the mean stimulation of A_{net} disappeared; this occurred at \approx the 65th
192 percentile of VWC on average across the 11 species. Hence, warming increased average A_{net} of
193 the community on only the third of days with highest soil moisture. Species (like temperate *Acer*
194 and *Quercus*) with more positive average responses to warming had positive responses for a
195 larger fraction of days and soil water conditions than species with more neutral or negative
196 responses (like boreal *Abies*, *Betula*, *Picea*, and *Pinus*). On average across species, A_{net} was
197 reduced by the warming treatment by 9%, 18% and 18% respectively when soil moisture was at
198 its median, 25th and 5th percentiles. Note that comparisons of A_{net} at the median VWC of ambient
199 and warmed treatments can also be gleaned for each species from the arrows in Fig. 1. Results
200 restricted to the 9 species measured in 2 or 3 years, or to the 5 species measured in all 3 years,
201 were generally similar to results for all 11 species: when soil moisture was high, warming
202 increased A_{net} , but whenever substantial soil moisture deficits occurred, warming decreased A_{net}
203 (Extended Data Table 4).

204 These results provide novel information about how soil moisture may modulate the
205 effects of climate warming in seasonally cold forest ecosystems, which represent roughly half of
206 global forests²⁹. During periods of low soil moisture, stomatal limitation of photosynthesis
207 reduced or eliminated the potential benefit of amelioration of low temperature constraints on

208 photosynthetic kinetics by warming (Figs 1, 2, Extended data Figs 3,4, 6). On average, warmed
209 plants had higher g_s and A_{net} than ambient plants when soils were moist (Figs 1-2). As soils dried,
210 plants in both treatments reduced g_s , but warmed plants of all species reduced both g_s and A_{net}
211 proportionally more than did ambient plants. In a warmer future, greater increases in
212 evapotranspiration than precipitation during the growing season³ should also reduce soil water
213 stores⁹, pushing plants in the future climate further down the “ A_{net} - VWC curve” and further
214 reducing or eliminating positive effects of warming on photosynthetic carbon gain.

215 Across the three study years, the distribution of soil moisture on the dates of
216 photosynthesis measurements closely matched the distribution of soil moisture across all days,
217 which were also similar to the 20-year average for these sites (Extended Data Table 1). Thus, the
218 observed responses to warming (Figs. 1-3) are likely indicative of future conditions in northern
219 Minnesota if rainfall patterns are roughly similar to the recent past; and suggest, more generally,
220 that soil water limitations may considerably constrain the realized potential benefits of warming
221 in seasonally cold environments. Moreover, our results can help explain observations that
222 climate change to date has had more negative effects on boreal forests in central and western
223 North America than on those further east^{5,6,9-11,16,18}. Given higher precipitation and lower
224 evapotranspiration, soils in eastern North American boreal forests are more often moist, and thus
225 higher temperatures are more likely to enhance photosynthesis, whereas in boreal forests in
226 central and western regions, low soil moisture and associated stomatal closure more often
227 constrain photosynthetic carbon gain^{3,5,9-11}.

228 Climate warming is likely to extend the season of active photosynthesis, and the effects
229 of rising CO_2 concentrations on g_s may result in enhanced soil moisture^{5,10,15}; both could help
230 offset the negative effects of soil drying on photosynthesis resulting from higher potential

231 evapotranspiration relative to growing season precipitation and from lower soil moisture
232 recharge resulting from higher rainfall intensity and more run-off^{1,3,9-11}. However, the relative
233 magnitude of such offsets is unknown^{1,3,9-11}. Furthermore, although the mechanisms underlying
234 the observations in this experiment should apply to trees of all sizes, larger trees may differ in
235 their sensitivity to drying soils from the juveniles used in this study, influencing the magnitude of
236 soil moisture-related modulation of climate warming effects on photosynthesis.

237 In summary, these results have important implications for the future, arising from two
238 independent but additive mechanisms. First, future warmer conditions will lead to increasingly
239 strong stomatal limitation of photosynthesis in drying soils, such that soil water limitations of
240 historically typical magnitude will eliminate some or all of the increased carbon gain possible
241 from greater photosynthetic capacity. Additionally, higher evapotranspiration in a warmer
242 world^{5,9-11} will result in chronically lower average soil moisture, further reducing net
243 photosynthesis via the same mechanism of decreased stomatal conductance. Thus, low soil
244 moisture will exert a powerful braking effect on, or even reverse, potential benefits of climate
245 warming on tree photosynthesis in mesic, seasonally cold environments.

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347 **Supplementary Information** is linked to the online version of the paper at
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356

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358 warming system, R. L. R. and A. S. implemented the warming system, and A. S. and K. M. S.
359 coordinated the day-to-day field measurements. P. B. R. analyzed the data. P. B. R. wrote the
360 first draft and along with the other co-authors jointly wrote the manuscript.

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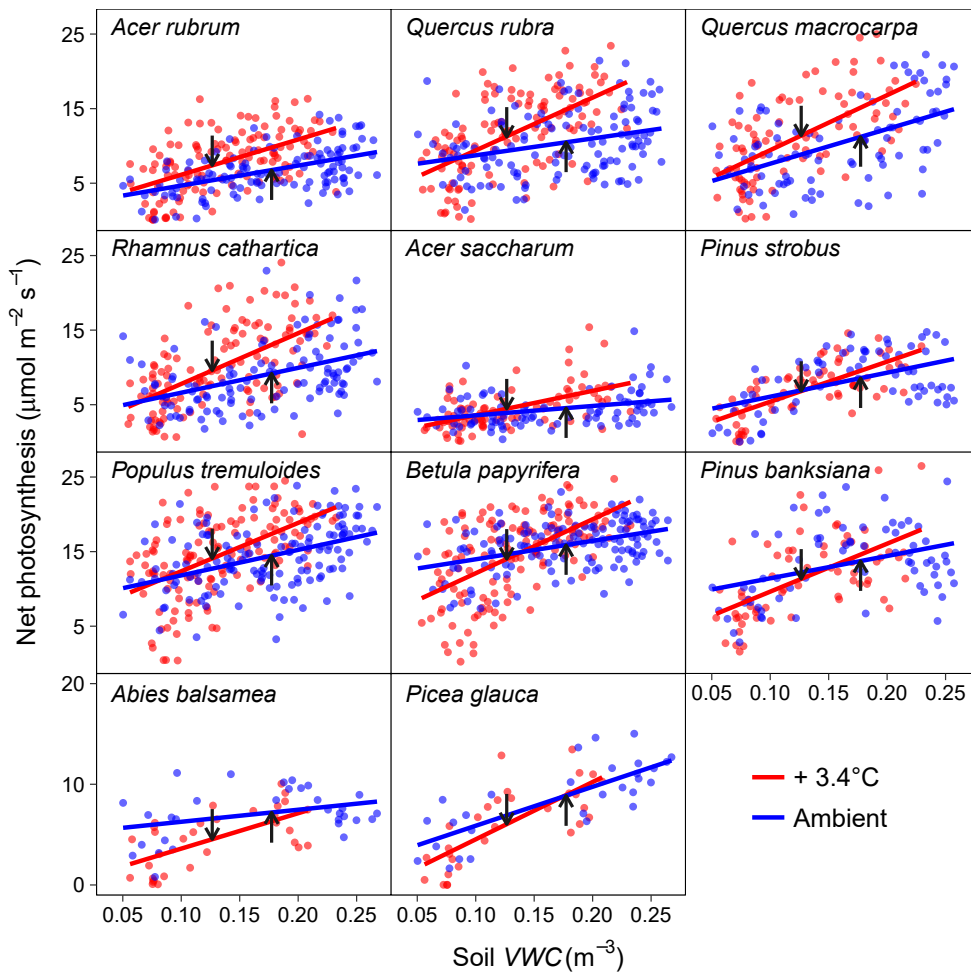
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363 www.nature.com/reprints. The authors declare no competing financial interests. Correspondence
364 and requests for materials should be addressed to preich@umn.edu.

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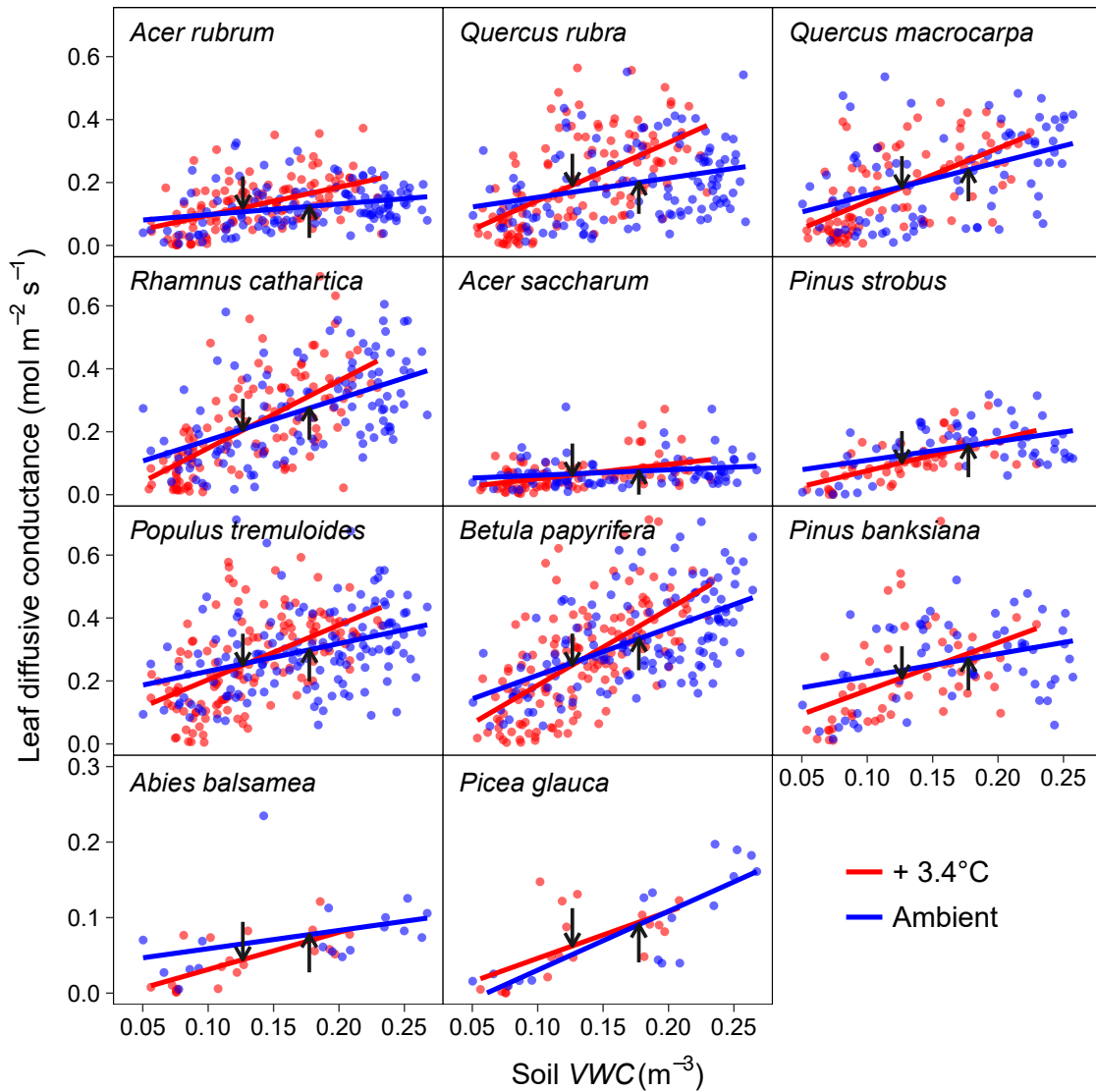
367

368 **Fig. 1. Photosynthesis is reduced by drying soils, and more so with simulated climate**
 369 **warming.** *In situ* light-saturated net photosynthesis (A_{net}) in relation to soil moisture (volumetric
 370 water content, VWC) by species for ambient (blue) and experimentally warmed (red) plants. Data
 371 shown are from multiple days across three years ($n=1991$ across species). The slope of A_{net} vs.
 372 VWC was significantly steeper in warmed than ambient plants (Table 1; $F_{1,553} = 40.9$,
 373 $P < 0.0001$). The arrows show the median VWC across all measurements for the ambient and
 374 warmed plants of each species. Species are arranged from top to bottom by their geographic
 375 ranges (temperate species in top two rows, boreal in bottom two rows). Sample sizes per species
 376 shown in ED Table 3.



377

378 **Fig. 2. Leaf conductance is reduced by drying soils, and more so with simulated climate**
 379 **warming.** Leaf diffusive conductance in relation to soil moisture (volumetric water content,
 380 *VWC*) by species for ambient (blue) and experimentally warmed (red) plants. Data shown are
 381 from multiple days across three years (n=1903 across species). The slope of g_s vs *VWC* was
 382 significantly steeper in warmed than ambient plants (Table 1; $F_{1,937} = 6.4, P=0.0113$). The
 383 arrows show the median *VWC* across all measurements for the ambient and warmed plants.
 384

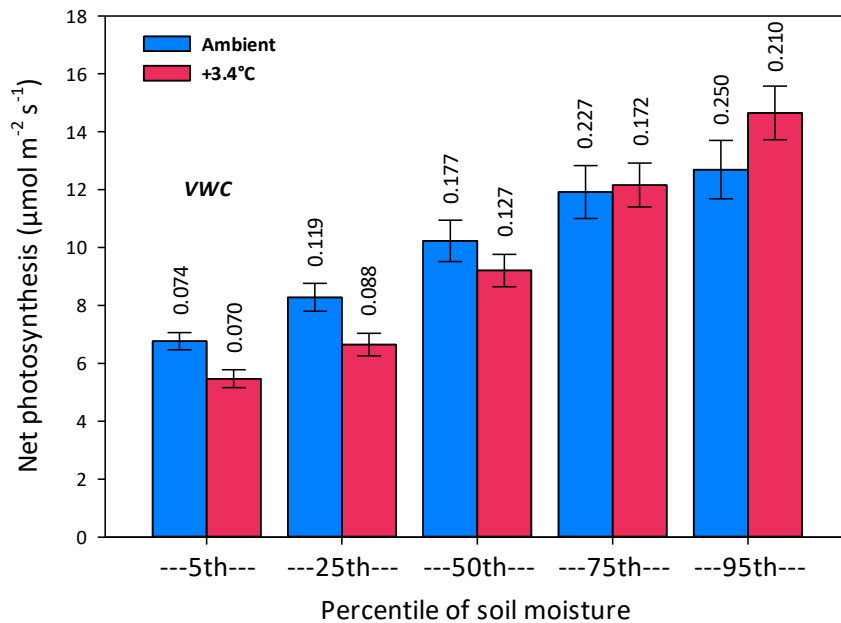


385

386

387 **Fig. 3. Warming stimulates photosynthesis on average in moist soils, but not otherwise.**

388 Mean A_{net} (\pm one standard error) of 11 temperate and boreal species in ambient and warmed
389 treatments compared during periods that ranged from dry to wet. Periods represent soil moisture
390 percentiles within treatments across all measurements, from dry to wet (i.e, the 5th, 25th, 50th,
391 75th, and 95th wettest percentiles of VWC for each treatment). The percentiles (from dry to wet)
392 occurred on nearly identical days in both treatments. Values represent the predictions for each
393 warming treatment averaged across all 11 species at each VWC level, based on the coefficients
394 for VWC from within-treatment mixed models using VWC , species, and their interaction ($n= 996$
395 for ambient, 995 for warmed, VWC $P<0.0001$ in both treatments based on F-tests). The standard
396 error is derived from the standard error of the slope of A_{net} vs. VWC within each treatment. Note
397 that the mean VWC by treatment is also shown at each soil moisture percentile (see insert
398 values).



399

401 **Table 1.** Summary of mixed models for light-saturated net photosynthetic rate (A_{net}) and leaf
 402 diffusive conductance (g_s) in relation to species, +3.4 °C warming treatment (Warm), volumetric
 403 water content (Soil water), vapor pressure gradient (VPG), leaf temperature (T_{leaf}), and all
 404 interactions except the five-way interaction. Plot, block, and site were included as random effects
 405 in the model. Both models were significant, at $P < 0.0001$. Data are for 11 species ($n = 1991$ for
 406 A_{net} , 1903 for g_s). Four-way interactions were not significant and are not shown.
 407

Source of variance	(A_{net})		(g_s)	
	F	P>F	F	P>F
Spp	72.61	<0.0001	32.18	<0.0001
Warm	14.10	0.0003	1.28	0.2587
Spp*Warm	3.29	0.0003	0.79	0.6430
Soil water	215.61	<0.0001	147.72	<0.0001
Soil water *Spp	2.02	0.0278	6.17	<0.0001
Soil water *Warm	40.88	<0.0001	6.44	0.0113
Soil water*Spp*Warm	1.17	0.3033	0.47	0.9130
VPG	29.38	<0.0001	17.10	<0.0001
VPG *Spp	10.11	<0.0001	8.57	<0.0001
VPG *Warm	0.33	0.5686	0.42	0.5208
VPG *Soil water	5.59	0.0182	0.30	0.5858
VPG *Spp*Warm	1.39	0.1780	0.57	0.8427
VPG *Spp*Soil water	4.17	<0.0001	1.35	0.1969
VPG *Warm*Soil water	4.24	0.0396	0.03	0.8629
T_{leaf}	26.75	<0.0001	3.32	0.0684
T_{leaf} *Spp	11.77	<0.0001	6.65	<0.0001
T_{leaf} *Warm	0.05	0.8151	0.40	0.5251
T_{leaf} *Soil water	3.95	0.0469	0.60	0.4382
T_{leaf} * VPG	0.69	0.4066	0.01	0.9157
T_{leaf} *Spp*Warm	1.53	0.1225	0.55	0.8551
T_{leaf} *Spp*Soil water	3.46	0.0002	1.59	0.1035
T_{leaf} *Spp* VPG	2.39	0.0081	1.70	0.0758
T_{leaf} *Warm*Soil water	5.19	0.0228	0.01	0.9047
T_{leaf} *Warm* VPG	3.46	0.0002	0.01	0.9157
T_{leaf} *Soil Water* VPG	1.83	0.0502	0.19	0.6649
Full model adjusted R ²	0.6342		0.6013	

410 **Methods**

411 The experiment is located at two University of Minnesota field stations; the Cloquet Forestry
412 Center, Cloquet MN (46°40'46" N, 92°31'12" W, 382 m a.s.l., MAT, 4.8°C mean annual
413 temperature, 783 mm mean annual precipitation) and the Hubachek Wilderness Research Center,
414 Ely, MN, (47°56'46" N, 91°45'29" W, 415 m a.s.l., MAT, 2.6° C mean annual temperature, 726
415 mm mean annual precipitation)^{19,20}. At both sites, treatments were positioned in relatively open
416 (recently cleared) overstory conditions. The overall experimental design was a 2 (site) x 2
417 (treatment) factorial, with six replicates of each for a total of 24 circular 3-meter diameter plots;
418 with seedlings of 11 focal species planted in every plot. Treatments included two levels of
419 simultaneous open-air plant and soil warming (ambient, + 3.4 °C); warming was accomplished
420 with infrared lamp heaters and soil heating cables (dummy lamps and cables in the ambient
421 plots). Warming was implemented from early spring to late fall each year in open-air plots (i.e.
422 without chambers) via a feedback control that acts concurrently and independently at the plot
423 scale to maintain a fixed temperature differential from ambient conditions above- and
424 belowground. On average, we achieved 24-hour per day warming of +3.4 °C (≈April-November)
425 and midsummer midday (0900-1500 h during June- Sept) aboveground warming of +2.9 °C
426 across the 2009 to 2011 growing seasons^{19,20}. Plant and soil temperature and soil moisture (0-20
427 cm depth) were measured continuously and recorded hourly in every plot throughout the study.
428 Plant surface temperature was measured with infrared thermometers mounted above the plant
429 canopy in every plot (IRR-P: Apogee Instruments Inc., Logan, UT, USA). Volumetric water
430 content from 0 to 20 cm depth was measured in each plot using a 30 cm Campbell Scientific CS-
431 616 probe inserted at 45°. Volumetric water content ($\text{cm}^3 \text{H}_2\text{O}/\text{cm}^3 \text{soil}$, *VWC*) was monitored
432 hourly in all plots and corrected²⁰ for soil textural and temperature differences using a Campbell

433 Scientific method for user-specific calibration of water reflectometers (Model CS616). Both sites
434 have well drained, coarse-textured upland soils^{19, 20}. In mid-continental boreal and temperate
435 biomes, climate change will increase plant and air temperatures, and the associated increases in
436 vapor pressure gradients (*VPG*) and evapotranspiration are likely to more than offset any
437 increase in total atmospheric water vapor or precipitation, resulting in increased soil water
438 deficits^{3, 7-10}.

439 Eleven juveniles of each of 11 tree species were planted in 2008 into existing low shrub,
440 herb, and fern vegetation in every plot ($\approx 2,900$ juveniles; average of ≈ 3 years-old in 2009). The
441 11 species include six native broadleaf (*Acer rubrum*, *A. saccharum*, *Betula papyrifera*, *Populus*
442 *tremuloides*, *Quercus macrocarpa* and *Q. rubrum*), one naturalized broadleaf (*Rhamnus*
443 *cathartica*), and four native needle leaved (*Abies balsamea*, *Picea glauca*, *Pinus banksiana*, and
444 *Pinus strobus*) species, all of which are present in the ecotonal region. Local ecotypes (collected
445 between 46°0' and 48°30'N latitude in northeastern Minnesota) of all species except *Rhamnus*
446 were planted from material obtained from two Minnesota Department of Natural Resources
447 nurseries in northern Minnesota. *Rhamnus* seedlings were transplants dug up from forests in
448 north central Minnesota.

449 *In situ* measures of light-saturated net photosynthesis and leaf diffusive conductance were
450 made using six Li-Cor 6400 portable photosynthesis systems (Li-Cor, Lincoln, NE).
451 Simultaneous leaf temperature measurements were made for most species using the internal fine
452 wire thermocouple located in the bottom of the 2x3cm Li-Cor leaf chamber (6400-02B LED) and
453 directly touching the leaf during the measurement. However, for two conifers (balsam fir and
454 spruce) we used a conifer chamber LED light source (6400-22L) and leaf temperature was
455 calculated based on energy balance (for details see Li-Cor 6400XT manual) (Li-Cor, Lincoln,

456 NE, USA). Leaf temperature measured in the cuvette and canopy surface temperature (measured
457 independently with infrared thermometers, as described above) were strongly correlated. Cuvette
458 leaf temperature was usually $\approx 2^\circ\text{C}$ higher than canopy temperature. This is largely because the
459 cuvette and the enclosed leaf warmed up from being in the sun; additionally, leaves were
460 selected for photosynthesis from upper canopy leaves in sunlit positions, whereas part of the
461 plant canopy surface sensed by the infrared thermometers were often in partial shade.
462 Measurements were made throughout the growing seasons (June to September) of 2009 through
463 2011. A total of $\approx 1,900$ measurements on a total of 54 dates were made across species,
464 treatments, sites, and time. Individuals were three- to five-years old at the time of measurements.
465 Measurements were made in morning or early afternoon (i.e. typically between 0830-1400 solar
466 time). Not all species were measured each year due to the time-consuming nature of the
467 measurements (five species were measured in all three years, four in two years, and two in one
468 year). On every measurement date, any species included in that sampling was measured equally
469 across contrasting warming treatments. Fully expanded, healthy upper canopy leaves were
470 sampled from individuals planted in a combination of ambient and $+3.4^\circ\text{C}$ treatments at both
471 sites. Light was maintained in the leaf chamber at saturating levels using the LED light source.
472 Airflow was set at $500\ \mu\text{mol s}^{-1}$ and CO_2 reference concentrations were set at $400\ \mu\text{mol mol}^{-1}$.

473 Estimates of V_{cmax} from the one-point method³⁰ and estimates of the percent stomatal
474 limitation^{25,26} of A_{net} were also made. For data from other years where full $A-C_i$ curves were
475 measured, calculated V_{cmax} from the one-point method from single points of those $A-C_i$ curves
476 very closely matched (near 1:1 line, $R^2=0.96$) the V_{cmax} values estimated from the entire curves,
477 strongly supporting the appropriateness of the one-point method for our field measurements for
478 this set of species. Percent stomatal limitation was taken as the percent reduction in A_{net} from the

479 maximal rate estimated with no stomatal limitation (A_{gmax}). A_{gmax} was estimated (for each species
480 in both treatments) in three ways; (i) based on calculations from $A-C_i$ curves of nine of the eleven
481 species made in later years of the study on a separate cohort of plants, (ii) based on the 95th
482 percentile of A_{net} measurements from the current study, and (iii) based on the A_{gmax} estimates
483 from the $A-C_i$ curves, adjusted to reflect realized A_{net} in the current study using the correlation of
484 values from (i) and (ii). For method (i) we used the relationship between $A-C_i$ curves and field
485 95th percentile A_{net} for 9 species to estimate A_{gmax} for the two species without $A-C_i$ curves. The
486 overall patterns shown in each panel of the Extended Data Figure 4 are nearly identical using any
487 of the three metrics. We used metric (iii) because it combined independent estimates of net
488 photosynthetic rates from outside of this study, with maximal rates that better reflected realized
489 rates in the study (and thus resulted in fewer values below zero for percent stomatal limitation).
490 We recognize the impossibility of negative values for percent stomatal limitation, but retained
491 them for statistical purposes.

492 A mixed model was used to compare light saturated net photosynthetic rates (A_{net}) and
493 leaf diffusive conductance (g_s) to treatment combinations, soil moisture conditions, VPG , and
494 leaf temperature. Models included the following independent variables: species, warming
495 treatment, VWC (on the day the gas exchange measurement was made), VPG , T_{leaf} and all
496 interactions (up to four-way) among variables. Plot, block, and site were added to each model as
497 a random effect. Models were also run separately for the subset of nine species measured in at
498 least two years (Extended Data Table 4), for the five species measured in all three years
499 (Extended Data Table 4), and for each species individually (Extended Data Table 3). Results
500 were similar across these different models. Moreover, comparisons across species on common
501 dates were made in three different ways. First, we used coefficients from mixed models for each

502 temperature treatment to estimate A_{net} across a range of VWC percentiles (Fig. 3); second we ran
503 mixed models including species, treatments, and VWC bin classes to develop LSMEANs for all
504 species x treatment x VWC bin combinations, and third we averaged raw species means for VWC
505 bin classes across treatments. All three approaches resulted in similar output.

506 The three experimental years were typical of long-term climate (Extended Data Table 1);
507 moreover, over the three years, the dates when leaf physiological measurements were made were
508 well-distributed from early June to late September (between day of year [DOY] 162 to 269), and
509 represented a similar range of frost-free temperatures and soil moisture as occurred across that
510 growing season period in 2009-2011 (Extended Data Table 2). There was no evidence that mid-
511 summer, which is warmer, was on average drier during these three particular years, nor did
512 periods of low VWC occur in times of high VPG . As a result, there was no confounding of soil
513 moisture deficits with leaf or air temperatures or VPG during our study; thus, physiological
514 effects related to low soil moisture should have been largely independent of effects of air
515 temperature (or VPG).

516

517 **Data availability** The data reported in this paper will be made available as a *Supplementary*
518 *Information Data Table* (online) and deposited in an open-source community archive.

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520