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Spatial and temporal dynamics of ascidian invasions in the continental United States and Alaska.

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Abstract:	<p>Species introductions have increased dramatically in number, rate, and magnitude of impact in recent decades. In marine systems, invertebrates are the largest and most diverse component of coastal invasions throughout the world. Ascidians are conspicuous and well-studied members of this group, however, much of what is known about their invasion history is limited to particular species or locations. Here, we provide a large-scale assessment of invasions, using an extensive literature review and standardized field surveys, to characterize the invasion dynamics of non-native ascidians in the continental United States and Alaska. Twenty-six non-native ascidian species have established documented populations on the Pacific, Atlantic and Gulf coasts (spanning 25°N to 57°N). Invader species richness is greatest for the Pacific coast (19 spp.), followed by the Atlantic (14 spp.) and Gulf (6 spp.) coasts, and decreases towards higher latitudes. Most species (97%) expanded their range after initial introduction, although the direction and latitudinal extent of secondary spread</p>	

	<p>varied. Temporal analyses, based on literature reported first records and repeated field surveys, show an increase in recorded non-native ascidians at continental, regional, and local scales. Our results underscore that non-native species continue to establish and spread, and the transfer of biofouling organisms on underwater surfaces of vessels is an active and potent vector that remains largely unmanaged. More broadly, we suggest that ascidians provide a tractable and important indicator group for evaluating invasion dynamics and management strategies.</p>
<p>Suggested Reviewers:</p>	<p>Marc Rius, PhD Lecturer, University of Southampton M.Rius@soton.ac.uk Dr. Rius is an expert in ascidian biogeography and invasions.</p> <hr/> <p>Noa Shenkar, PhD Lecturer, Tel Aviv University noa.shenkar@gmail.com Dr Shenkar is an expert in ascidian ecology, bioeogeography and invasions. We cite her PlosOne review of global ascidian taxonomy throughout our discussion.</p> <hr/> <p>Rosana Rocha, Phd professor, Universidade Federal do Paraná rmrocha@ufpr.br Dr Rocha is an expert in ascidian ecology, taxonomy, and invasions. She also knows Gretchen and Charlie Lambert's research very well, which we cite throughout our paper, as she is in a small cohort of global ascidian taxonomists with the Lambert's. Her ascidian work is conducted throughout Central and South America, some of which we touch on in our manuscript.</p>

1 **Spatial and temporal dynamics of ascidian invasions in the continental United States and**
2 **Alaska**

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45 **Abstract**

46 Species introductions have increased dramatically in number, rate, and magnitude of impact in
47 recent decades. In marine systems, invertebrates are the largest and most diverse component
48 of coastal invasions throughout the world. Ascidiaceans are conspicuous and well-studied
49 members of this group, however, much of what is known about their invasion history is limited
50 to particular species or locations. Here, we provide a large-scale assessment of invasions, using
51 an extensive literature review and standardized field surveys, to characterize the invasion
52 dynamics of non-native ascidiaceans in the continental United States and Alaska. Twenty-six non-
53 native ascidian species have established documented populations on the Pacific, Atlantic and
54 Gulf coasts (spanning 25°N to 57°N). Invader species richness is greatest for the Pacific coast (19
55 spp.), followed by the Atlantic (14 spp.) and Gulf (6 spp.) coasts, and decreases towards higher
56 latitudes. Most species (97%) expanded their range after initial introduction, although the
57 direction and latitudinal extent of secondary spread varied. Temporal analyses, based on
58 literature reported first records and repeated field surveys, show an increase in recorded non-
59 native ascidiaceans at continental, regional, and local scales. Our results underscore that non-
60 native species continue to establish and spread, and the transfer of biofouling organisms on
61 underwater surfaces of vessels is an active and potent vector that remains largely unmanaged.
62 More broadly, we suggest that ascidiaceans provide a tractable and important indicator group for
63 evaluating invasion dynamics and management strategies.

64

65 **Keywords:** ascidiaceans, biofouling, biogeography, marine invasions, nonindigenous, non-native
66 species, North America

67

68 **Introduction**

69 In coastal environments, the observed rate of invasions has increased steadily in the past
70 century, largely due to a range of human-mediated vectors including commercial shipping,
71 aquaculture transfers, recreational boating and intentional release (Cohen and Carlton 1998;
72 Ruiz et al. 2000; Wasson et al. 2001; Ruiz et al. 2015). Although few aquatic ecosystems are
73 free from invaders, not all regions and habitats are invaded to the same extent (Ruiz et al.
74 1997). Patterns of invasion vary over latitudinal and regional scales. For instance, polar habitats
75 are less invaded than temperate ones (Ruiz and Hewitt 2009), and bays and estuaries are
76 invaded more often than exposed open coasts (Wasson et al. 2005; Preisler et al. 2009; Ruiz et
77 al. 2009). While there is some discussion of invasion patterns across regions and habitats,
78 contemporary analyses of the spatial extent and temporal spread of marine invaders at large
79 spatial scales are rare, especially when combining extensive field surveys and literature
80 synthesis.

81 Invertebrates represent the largest and most diverse component of marine invasions
82 throughout the world (Molnar et al. 2008). They can be transported by multiple vectors,
83 increasing the likelihood of successful introduction and establishment. For instance, many
84 invertebrates can be carried as planktonic larvae in ballast water aboard commercial ships or as
85 sessile adult stages attached to ships' hulls and sea chests, recreational boats, or shellfish
86 aquaculture stock. Ascidiaceans comprise one of the most conspicuous and well-documented
87 groups of invertebrate invaders, making them a model for studying broad scale invasion
88 patterns and dynamics (see Zhan et al. 2015 for review).

89 Ascidiaceans (Phylum Chordata, Sub-Phylum Tunicata, Class Ascidiacea) are diverse and abundant
90 members of marine communities, with approximately 3000 described species worldwide
91 (Shenkar and Swalla 2011). They are hermaphroditic, sessile, filter feeders and are found in a
92 variety of habitats from shallow water to the deep sea (Millar 1971; Monniot et al. 1991;
93 Lambert 2005a). They can be solitary or colonial in body form and their life history includes a
94 short non-feeding larval phase and a sessile adult form (Svane and Young 1989). They settle on
95 a wide variety of hard substrates including rocky benthos, coral reefs, mangroves, algal fronds,

96 bivalve shells, and man-made structures such as pilings, docks, seawalls, and boat hulls (Millar
97 1971; Lambert 2005a; Davidson et al. 2010). Given the short dispersal phase of ascidians
98 (minutes to hours) and the numerous ascidian records from beyond their native range, analyses
99 of this group can provide unique insight into the consequence of anthropogenic transport on
100 global marine species distributions.

101 Around the globe, there are 80 ascidian species that are known to be non-native in parts of
102 their documented range (Shenkar and Swalla 2011; Zhan et al. 2015). Some of these species are
103 invasive with increased concern about their potential economic and ecological impacts
104 (Lambert 2007a; McKindsey et al. 2007). For instance, a number of non-native ascidians have
105 been found to displace native species (Stachowicz et al. 2002; Castilla et al. 2004; Blum et al.
106 2007), overgrow cultured bivalve molluscs (Ramsay et al. 2008; Rius et al. 2011), and alter
107 benthic community structure (Castilla et al. 2004; Valentine et al. 2007). Many of these impacts
108 are reported from anthropogenic habitats, such as marinas, docks, pilings, and aquaculture
109 gear, where these species often flourish (Lambert and Lambert 1998; Lutzen 1999; Lambert
110 2002; Simkanin et al. 2012). However, some species have invaded natural benthic habitats,
111 where they can compete with native species for space and resources (Castilla et al. 2004;
112 Pereyra et al. 2015).

113 In this study, we provide an overview and contemporary analysis of non-native ascidian
114 biogeography in the United States and North America more broadly. Our goal is to contribute
115 insight into the invasion dynamics of a globally widespread group of invaders, which have wide-
116 ranging economic and ecological impacts. Specifically, we characterize spatial and temporal
117 patterns of ascidian introductions by assessing region of origin, introduction dates, arrival
118 locations, transport vectors, and subsequent spread. We focus particular attention on large-
119 scale patterns across coasts, species, and bays.

120 **Materials and Methods**

121 To generate a full record of ascidian invaders, we compiled species lists using two separate and
122 complementary methods: an extensive literature review and standardized field surveys. We
123 focused our search on established species that are known to be non-native in the continental

124 United States and Alaska (hereafter referred to as the U.S.). We excluded cryptogenic species
125 (i.e. native/non-native status unknown; see Supplementary Table 1) from analyses and utilized
126 the most recent biogeographical data available to collate species lists. A species was classified
127 as established when: (1) there were multiple records over multiple years for a location, (2) local
128 populations were reportedly numerous and successfully reproducing, or (3) the species was
129 reported as established in the literature or through personal communication (see Ruiz et al.
130 2000 for greater detail).

131 *Literature review*

132 Non-native ascidian records were compiled through an extensive literature review and
133 synthesis of marine invaders in North America (Table 1). The resulting information is contained
134 within the National Marine and Estuarine Species Information System (NEMESIS), a Smithsonian
135 Institution database created over the past 15 years. NEMESIS is an ongoing effort that includes
136 biogeographical data for more than 400 introduced marine and estuarine species. Data
137 collated and reviewed within the database come from a wide range of sources, including:
138 published papers, unpublished reports and theses, records from long-term monitoring efforts,
139 museum specimens, and communications with marine taxonomists to verify collected
140 information. For each non-native ascidian species we assembled information on: native region,
141 dates of first record per coast and per bay, subsequent occurrence records with dates and
142 locations, and potential vectors of introduction. This synthesis includes data and information
143 from over 7000 Ascidiacea references, worldwide. Information gathered during this extensive
144 review is publicly available at <http://invasions.si.edu/nemesis/databases.html>. Detailed
145 occurrence records for California are also publicly available as part of the California Non-native
146 Estuarine and Marine Organisms (Cal-NEMO) database at
147 <http://invasions.si.edu/nemesis/calnemo/intro.html>.

148 *Field surveys*

149 Standardized surveys were conducted in twenty-two bays in the U.S., spanning 24°N to 57°N on
150 the Pacific, Atlantic and Gulf coasts. Sites were surveyed for subtidal fouling species over a 14
151 year time period (2000-2014), with most bays (17) sampled once during this time, and five bays

152 sampled repeatedly over a number of years (see Table 2). In each bay, at least 100 PVC
153 settlement plates, 14 x 14 cm in size, were deployed and examined to determine the presence
154 of fouling organisms, including ascidian species (except in Portsmouth, New Hampshire where
155 16, 10 x 10 cm plates were deployed, see Dijkstra and Harris 2009; Dijkstra et al. 2011). Each
156 plate was sanded on one side. Plates were suspended from man-made structures (e.g. docks,
157 marinas, buoys, bridges, piers) in bays and harbors in a horizontal, downward position (using a
158 brick weight), sanded side facing the benthos. All plates were deployed in late spring or early
159 summer, during the usual peak of larval recruitment (colonization), and remained in the field
160 for three months to allow sufficient community development. Once retrieved, plates were
161 processed to identify the full suite of fouling organisms, including both sessile and mobile
162 invertebrates. Processing involved recording easily identifiable species in the field, while
163 unidentifiable or questionable species were collected and preserved for subsequent
164 identification in the laboratory. If a species was especially unusual or difficult to identify,
165 voucher specimens were sent to a taxonomic expert for identification.

166 *Data Analyses*

167 Data from the extensive literature review and field surveys were collated and analyzed to
168 examine invasion patterns across coasts and bays. Dates of first record were assigned based on
169 the first date of collection or documented introduction of an established population. Dates and
170 locations of first record are valid for the full North American range (Mexico, the U.S. and
171 Canada) of non-native ascidians. If these were not reported, dates of written documents or
172 publications were used. These dates are the best known information that is currently available,
173 but we recognize that dates may be affected by the timing of sampling, taxonomic expertise of
174 the sampler, and lags in publication times.

175 We examined the latitudinal extent of species' current continuous non-native ranges on the
176 Pacific and Atlantic/Gulf coasts of North America – including distributions spanning Mexico, the
177 U.S., and Canada. These data were acquired using occurrence records reported throughout the
178 literature review and synthesis. Atlantic and Gulf coasts were combined in this analysis
179 because the coastlines are continuous and species ranges generally extended across both

180 coasts. If a section of a species range was considered cryptogenic or there was a large gap in
181 known occurrences (i.e. greater than a marine ecoregion, as in Spalding et al. 2007), we
182 considered the last confirmed and continuous introduction record to be the range limit (see
183 reported north and south range edges in Supplementary Table 2). This is a conservative
184 estimate and further research in under sampled regions (e.g. sections of Central America and
185 Mexico) may expand the latitudinal extent for some species.

186 For each non-native ascidian species, we characterized the vector(s) associated with the initial
187 invasion record per bay sampled. Vectors were assigned per species based on life history
188 characteristics (i.e. larval duration and adult settlement patterns), historical vector activity
189 within bays, and date of first record relative to human activities. For some non-native ascidian
190 species, multiple vectors were considered possible. Vectors in our analysis included (1) Ballast
191 water – the ballast tanks (water, sediments and surfaces) of ships; (2) Vessel biofouling – the
192 hulls and underwater surfaces, including sea chests, of vessels; (3) Oyster accidental –
193 accidental transfers with Oyster transplants or equipment; and (4) Fisheries accidental –
194 accidental transfers with aquaculture species or equipment that are not oyster related. For the
195 vessel biofouling vector, we could not easily distinguish the roles of commercial or recreational
196 vessels as sources of introduction in some bays; thus, our analysis treats them as one group. All
197 statistical analyses were conducted in Sigma Plot version 12.3 (Systat Software Inc., San Jose,
198 CA, USA) and PRIMER version 7 (PRIMER-E Ltd, Plymouth, UK).

199 **Results**

200 *Literature review: invasion patterns across coasts*

201 We recorded 26 non-native ascidian species established in the U.S. (Table 1). In total, half of
202 these species (13 spp.) were colonial and half were solitary species. A majority (12 spp.) were in
203 the order Stolidobranchia, while eight were Phlebobranchia, and six were Aplousobranchia.
204 Geographically, non-native ascidian richness was highest on the Pacific Coast (19 spp.), followed
205 by the Atlantic (14 spp.) and Gulf (6 spp.) coasts. Most species were reported from only one
206 coast (16 spp.), but ten species were found on multiple coasts. Species native to the Western
207 Pacific and Indo-Pacific dominated non-native ascidian assemblages on all three coasts,

208 comprising 68% of non-native ascidians on the Pacific coast, and 50% on both the Atlantic and
209 Gulf coasts (Figure 1).

210 Few non-native ascidians were reported from North American waters earlier than 1900 (7 spp.)
211 and most of these were discovered in historical shipping centers at lower-latitudes (25 - 35 °N)
212 on the Atlantic and Gulf coasts (Figure 2a,b). The rate of discovery was relatively low until
213 around 1950, when a steady increase began that continues to the present. A large part of this
214 increase coincides with several targeted sampling efforts which have been initiated in recent
215 decades (Figure 2b).

216 On the Pacific coast, southern California (San Diego to Santa Barbara) was the region of first
217 occurrence for 13 of 19 non-native ascidians (Figure 3a), whereas on the Atlantic coast, half of
218 the documented non-native ascidians (7 of 14 spp.) were first reported from New England
219 (Connecticut to Maine; Figure 3b). Overall, 100% of the ascidian species introduced on the
220 Pacific coast and 93% on the Atlantic/Gulf coast spread beyond initial introduction locations.
221 The ranges of most species expanded in both a north and south direction (11 spp. Pacific and 9
222 spp. Atlantic/Gulf coasts), with fewer species expanding in one direction only (8 spp. Pacific and
223 4 spp. Atlantic/Gulf) and only one species not being reported beyond its initial introduction site
224 (on the Atlantic/Gulf) (Figure 3a,b). The most widespread non-native ascidians on the Pacific
225 coast of North America are *B. violaceus* (spanning 41° of latitude), *Botryllus schlosseri* (spanning
226 31°), and *D. vexillum* (spanning 26°) (Figure 3a). On the Atlantic and Gulf coasts, the most
227 widespread species are *S. canopus* (spanning 33°), *S. plicata* (spanning 26°), and *Didemnum*
228 *psammatoedes* (spanning 24°) (Figure 3b).

229 *Field survey: invasion patterns across bays*

230 A total of 118 occurrence records for 24 non-native ascidian species were reported during
231 fouling plate surveys conducted in 22 bays across the continental U.S. and Alaska (Table 2). At
232 least 14 of these occurrences represent 'first records' for the bay or region being sampled. Two
233 additional non-native species are known from U.S. waters, but were not recorded during plate
234 surveys, *Molgula citrina* (Pacific coast) and *Clavelina lepadiformis* (Atlantic coast). Both species

235 are recent invaders, with dates of introduction in the U.S. being 2008 and 2009, respectively,
236 and were detected after field surveys were conducted.

237 Multivariate analyses of non-native ascidian richness per bay show a clear distinction between
238 established community assemblages across coasts (ANOSIM, Global $R = 0.469$, $P < 0.001$; Figure
239 4). Specifically, species assemblages on the Pacific coast were significantly different from those
240 on the Atlantic (ANOSIM, $R = 0.483$; $P < 0.002$) and Gulf coasts (ANOSIM, $R = 0.8$; $P < 0.005$);
241 however, there was little distinction between non-native ascidian communities present on the
242 Gulf and Atlantic coasts (specifically sites from South Carolina south; ANOSIM, $R = -0.177$, $P =$
243 0.848 ; Figure 4). SIMPER analysis indicates that *Styela plicata*, *Styela canopus* and *Didemnum*
244 *vexillum* contributed most to differences between Pacific and Atlantic coasts; while *Botrylloides*
245 *violaceus*, *S. canopus* and *D. vexillum* contributed most to differences between Pacific and Gulf
246 coasts.

247 In bays on the Pacific Coast, richness patterns indicated a latitudinal trend of decreasing
248 ascidian invasions with increasing latitude ($f = 29.88 + -0.496 * x$; $r^2 = 0.751$), which was not the
249 case on the Atlantic coast, where there was no trend ($f = 3.817 + 0.005 * x$; $r^2 = 0.003$; Figure 5).
250 On the Pacific coast, San Diego Bay (California) had the greatest non-native ascidian richness
251 with 17 species, followed by nearby Mission Bay with 15 species, and San Francisco Bay with 14
252 species (Table 2; Figure 5). On the Atlantic coast, three sites: Biscayne Bay (Florida), Indian River
253 (Florida) and Narragansett Bay (Rhode Island) had the highest richness of non-native ascidians,
254 with 6 species each.

255 In the 22 sampled bays, ascidian species were introduced through a number of human-
256 mediated vectors including ballast water, vessel biofouling (ships and boats) and as hitchhikers
257 with aquaculture species (Figure 6). By far, the most frequent mechanism for introduction was
258 through transport as biofouling on the hulls and sea chests of transiting vessels and boats. On
259 the Pacific coast, accidental introductions with imported commercial Japanese oysters and
260 movement of aquaculture equipment (i.e. Oyster accidental) also appeared to be important
261 potential vectors for non-native ascidians (Figure 6). Some species have the potential to arrive

262 through multiple vectors, such as with both imported oysters and on vessel hulls (see Ruiz et al.
263 2011 for further discussion).

264 Temporal comparisons from two repeated, standardized plate surveys at five bays – two on the
265 Pacific Coast, two on the Atlantic Coast, and one on the Gulf Coast – showed an increase in the
266 number of detected non-native ascidians within four of the five bays over 12-13 years (Figure
267 7). The exception was Chesapeake Bay, where detected ascidian richness declined from two
268 species to one over 13 years from 2000 to 2013.

269

270 **Discussion**

271 Combining an extensive literature review and broad scale field surveys we provide insight into
272 the invasion dynamics of 26 non-native ascidian species established in the U.S. and North
273 America more broadly. Although our study provides an accurate and comprehensive
274 assessment based on current knowledge, this also represents a conservative minimum estimate
275 of total non-native ascidian richness and distribution, for a number of reasons. First, the
276 taxonomic resolution and biogeographic information for many ascidian species is still advancing
277 and new records are likely to be added simply as a result of new taxonomic and genetic
278 information being acquired (e.g. Brunetti et al. 2015; Vandepas et al. 2015; Yund et al. 2015).
279 Second, although marine non-native species are relatively well studied in the U.S. (Ruiz et al.
280 2000; Ruiz et al. 2015), there are some areas where systematic surveys for coastal invaders
281 have not been conducted on a large scale. For instance, there is limited information on invaders
282 in Delaware Bay and New York Harbor, which are areas with high commercial shipping activity.
283 This limited knowledge is particularly true of tropical regions, such as sections of the Gulf of
284 Mexico and Central America, which have not been as extensively studied as further north. As a
285 result, the southern (low latitude) range extents for some non-native ascidians are likely
286 underestimated. Thus, increased surveys in these underrepresented locations will likely lead to
287 new records and greater understanding of invasion patterns.

288 Non-native ascidian richness is greatest on the U.S. Pacific coast, mirroring previous large scale
289 analyses across all groups of introduced marine taxa (Ruiz et al. 2000; Ruiz et al. 2015). More

290 specifically, southern California is a hotspot for ascidian invasions (e.g. Tracy and Reynolds 2014).
291 Interestingly, non-native ascidian richness is inversely correlated to latitude on the Pacific coast,
292 but not on the Atlantic. However, sites further north than Portsmouth, New Hampshire at 43°N
293 were not sampled during this study. Sites in New England (Rhode Island – Maine) and Atlantic
294 Canada have been extensively surveyed for non-native ascidians (LeGresley et al. 2008; Sephton
295 et al. 2011; Massachusetts Office of Coastal Zone Management 2013; Moore et al. 2014).
296 Newfoundland at 46-51°N is reported to have three non-native ascidians – reflecting invasion
297 levels similar to sites from high latitudes on the Pacific coast (Ketchikan, Alaska (AK) has two
298 non-native ascidians and Sitka, AK has three). The three non-native ascidian species established
299 in Newfoundland are *Botryllus schlosseri*, *Botrylloides violaceus*, and *Ciona intestinalis* (Callahan
300 et al. 2010; Ma et al. 2011; Sargent et al. 2013). However, the invasion history of two of these
301 species, *B. schlosseri* and *C. intestinalis*, is unresolved and in some cases they have been
302 considered cryptogenic in this region (Zhan et al. 2010; Yund et al. 2015; this study - see
303 Supplementary Table 1). Cooler water temperatures and shorter reproductive seasons at
304 northern latitudes may limit the spread of invasions in these environments, but current
305 northern range limits may also reflect relatively low historical propagule supply by vessels and
306 aquaculture activities (de Rivera et al. 2011; Ruiz et al. 2011). The relative contribution of these
307 factors to the northern distribution of non-native invertebrates is not presently clear.

308 The rate of discovery for non-native ascidians in the U.S. has accelerated since 1950. This trend
309 matches the discovery rate of ascidians on a global scale, regardless of native or non-native
310 population status, suggesting that a rise in taxonomic expertise may contribute strongly to the
311 observed temporal patterns (Shenkar and Swalla 2011). The survey and identification work of
312 Charles and Gretchen Lambert – termed here as the Lambert effect – has been instrumental in
313 increasing ascidian knowledge throughout the U.S. Their research greatly increased the number
314 of non-native ascidian records for southern California (Lambert and Lambert 1998; Lambert and
315 Lambert 2003) and the Gulf Coast (Lambert et al. 2005); undoubtedly influencing large scale
316 temporal patterns (see Figure 2). However, new introductions and sustained coastwise spread
317 also contribute to the increasing discovery rate. New records of ascidian invaders continue to
318 accumulate (e.g. Lambert 2007b; Lambert 2009; Lambert et al. 2010; Cohen et al. 2011) and

319 repeated replicate plate surveys show increased non-native ascidian occurrences in the last
320 decade, all evidence that non-native species are continuing to establish and spread in North
321 America. Further, a large scale assessment across taxonomic groups documented a 16-25%
322 increase in total marine invasions from 1999 to 2010 (Ruiz et al. 2015). Some of this is likely
323 increased detection and expertise, but some is clearly the continued arrival of newly introduced
324 species. Disentangling these two drivers is difficult, but repeated systematic surveys at the
325 same locations (such as the plate surveys conducted here) can begin to tease these
326 mechanisms apart.

327 Most non-native ascidians in the U.S. (on all three coasts) are native to the Western Pacific,
328 which is also a global biodiversity hotspot for ascidian species (Shenkar and Swalla 2011). High
329 non-native ascidian richness in California may stem from direct and extensive trade links with
330 Asia, especially Japan, including shipping and historical oyster imports, which transfer marine
331 biota. A large number of commercial ships traverse the Pacific Ocean exchanging goods
332 between the two continents (Carlton and Geller 1993; Verling et al. 2005), increasing the
333 opportunity for hitchhiking species to be introduced. Further, historical oyster imports from
334 Asia introduced a number of species to the Pacific Coast (specifically in and around San
335 Francisco Bay) prior to 50 years ago, when the vector mostly ceased (Carlton 1979; Ruiz et al.
336 2013; Grosholz et al. 2015). Once established, the likelihood that these species spread to other
337 locations substantially increases. Non-native ascidian species have been reported on varied
338 introduction vectors including commercial ships' hulls in niche areas such as sea-chests
339 (Fofonoff et al. 2003; Coutts and Dodgshun 2007; Frey et al. 2014), recreational boat hulls
340 (Davidson et al. 2010; Clarke Murray et al. 2011), and aquaculture stock and infrastructure
341 (Carver et al. 2003; McKindsey et al. 2007).

342 Most non-native ascidian species (97% overall) spread coastwise following their initial
343 introduction and establishment. However, species non-native ranges may illustrate multiple
344 primary introductions from the native range, rather than secondary spread from the first/initial
345 introduction site. Advances from population genetics show that this is the case for a number of
346 species (Roman and Darling 2007), including the ascidian *S. clava* on the Pacific Coast (Goldstien
347 et al. 2011; Darling et al. 2012). This interplay between multiple primary introduction sites vs.

348 secondary spread from initial introduction points, complicates coast-wide spread estimates.
349 Analyses combining population genetics and detailed occurrence records will lead to more
350 accuracy when assessing the invasion history of some species (e.g. Stefaniak et al. 2009),
351 providing greater insight into vector dynamics and species post-establishment spread. For
352 instance, a recent genetic analysis comparing populations of *B. violaceus* in North America
353 illustrates differing colonization processes between Pacific and Atlantic coasts (Bock et al.
354 2011). Non-native populations in Washington and British Columbia were established by
355 multiple primary introduction events from the species native range in Asia; whereas
356 populations in Eastern Canada appear to have spread by contiguous stepping-stone movements
357 through secondary introduction vectors (Bock et al. 2011).

358 In order for an invading species to spread beyond its initial introduction location, it needs to
359 overcome barriers to dispersal (Blackburn et al. 2011). Because ascidians have short larval
360 durations, their ability to naturally disperse quickly and over large distances is limited (Peterson
361 and Svane 1995; Lambert 2005a; Fletcher et al. 2012). However, many non-native ascidian
362 species have quickly expanded their ranges along the coast of North America illustrating their
363 capacity for transfer by human-mediated vectors. Initial introduction locations for the 26
364 ascidian species are centered around historical shipping centers – San Diego and LA/Long Beach
365 on the Pacific Coast, and Boston and New England on the Atlantic coast. Prior to the 1900's,
366 many ocean going vessels were wooden hulled and carried solid ballast which was dumped
367 before loading cargo, providing ample hard substrate for the settlement of marine
368 invertebrates, such as ascidians (Carlton 1989; Carlton and Hodder 1995). In more recent times,
369 recreational boats have become an important vector for the spread of non-native ascidians.
370 Several recent assessments of the fouling communities attached to recreational boat hulls show
371 that non-native ascidians are present (Davidson et al. 2010; Clarke Murray et al. 2011; Zabin et
372 al. 2014). Many of the bays sampled during our surveys lack commercial shipping ports, but
373 have large marinas and transient boating communities. This vector links larger bays and
374 harbors to smaller, perhaps less invaded, ones, thereby increasing the non-native range of
375 invaders (Davidson et al. 2010; Zabin et al. 2014) and possibly aiding the spread of ecologically
376 impactful ascidians, such as *D. vexillum* (Bullard et al. 2007; McCann et al. 2013).

377 The expansion of aquaculture provides an additional vector for the local transport of invaders,
378 as well as, a major new habitat for colonization by ascidian species (Carlton 1989; McKindsey et
379 al. 2007; Rocha et al. 2009). In floating aquaculture habitats, widespread ascidian invaders,
380 such as *B. violaceus*, *D. vexillum* and *S. clava*, are known to proliferate, reaching high densities
381 and inflicting economic damage by fouling farmed shellfish stock (LeBlanc et al. 2003;
382 McKindsey et al. 2007; Carman et al. 2010). Many states and provinces in North America have
383 initiated restrictions and permitting requirements for shellfish aquaculture transfers, partly due
384 to the large economic impacts caused by non-native species (e.g. Grosholz et al. 2015).
385 Although, there is an indication that the strength of this vector has decreased through time
386 (Ruiz et al. 2013), ascidians continue to have large impacts as nuisance species in aquaculture
387 facilities (Ramsay et al. 2008; Carman et al. 2010; Adams et al. 2011) and control efforts are
388 being developed to limit economic costs (Switzer et al. 2011).

389 As non-native ascidians continue to establish and spread throughout North America, the
390 likelihood of having negative economic or ecological impacts on a new area increases. As
391 species spread, they encounter novel environmental and biotic conditions which may spur
392 impacts to occur (Simberloff et al. 2012). Moreover, the cumulative impact of a species is
393 affected by the total area occupied, which often expands beyond the initial introduction point,
394 as seen with ascidians. Thus both areal extent and per-capita effects are important dimensions
395 in estimating impacts and targeting management efforts (Parker et al. 1999).

396 On a global scale, ships' ballast water is the only vector with explicit large-scale invasion
397 management practices in place to reduce the rate of new coastal invasions (Davidson and
398 Simkanin 2012; Ruiz et al. 2015). As mentioned previously, the life history characteristics of
399 ascidians (e.g. short non-feeding larval stage, sessile adult phase) suggest that they are unlikely
400 to survive long-distance voyages within a ship's ballast tank. Although, ascidian larvae may
401 survive shorter coastal transits (< 24hrs in duration, e.g. Simkanin et al. 2009) and *Ciona* larvae
402 have been found in ballast water samples (Ruiz et al. unpublished). Vessel biofouling (on both
403 commercial and recreational boats) and fouling on imported or transferred aquaculture stock
404 and equipment are more potent and successful vectors for ascidian introductions (Williams et
405 al. 2013). These vectors are not broadly regulated or managed to reduce species introduction

406 and spread, though there is some management at regional scales (e.g. California State Lands
407 Commission 2015; Grosholz et al. 2015) and emerging vessel biofouling regulations at national
408 scales (Davidson et al. 2016). Ascidians, as conspicuous and well-studied invaders, are
409 increasingly important indicator organisms in vector assessments (Aldred and Clare 2014) and
410 can act as model organisms for studying the efficacy of current management regulations and as
411 indicators for future policies and practices to reduce invasive species spread.

412 Man-made habitats, such as docks, marinas and aquaculture sites, are focal areas for invasions
413 and therefore provide important monitoring sites for detecting new species arrivals (Glasby et
414 al. 2007; Ruiz et al. 2009). Little is known about the extent to which non-native ascidians are
415 spreading from man-made structures into natural ecosystems, and what impacts they may be
416 having on native marine communities. Many non-native marine invertebrates, including
417 ascidians, appear to have limited ability to spread into nearby natural benthic habitats
418 (Simkanin et al. 2012; Airoldi et al. 2015). Native predators or reduced propagule supply may
419 limit non-native ascidian abundance in benthic habitats (Dumont et al. 2011; Forrest et al.
420 2013; Simkanin et al. 2013; Rogers et al. 2016). Importantly, the ascidian *D. vexillum* is an
421 exception in such habitat restriction, and serves as an example of expansive colonization of
422 natural habitat in many global regions (Bullard et al. 2007; Valentine et al. 2007; Carman and
423 Grunden 2010). Further research is needed to understand what factors limit non-native species
424 establishment in natural habitats and whether colonization patterns may change in response to
425 human activities or other forcing factors.

426 *Conclusion*

427 Our analysis of ascidian invasions provides important insight into the large-scale invasion
428 dynamics of an economically and ecologically impactful group of species. First, the Pacific coast,
429 particularly southern California, is a hotspot for ascidian invasions and new invaders continue
430 to be reported from this area. Second, across the continent, the number of ascidian invasions
431 continues to increase despite widespread implementation of management protocols (i.e.
432 shellfish transfer restrictions and ballast water exchange/treatment). Biofouling on commercial
433 ships and recreational boats, considered the primary vector for coastwise spread of non-native

434 ascidians, is currently unregulated. As species continue to establish and spread, the potential
435 for negative ecological or economic impacts increases. Third, additional field surveys and
436 taxonomic expertise are needed to fill in distribution gaps for under sampled regions, including
437 portions of Mexico and Central America. Finally, fouling plate surveys provide an inexpensive,
438 useful tool to detect new arrivals and evaluate range expansions of non-native marine
439 invertebrates. Within this context, ascidians are particularly useful indicators for evaluating the
440 spatial extent and temporal spread of invaders and testing the efficacy of management
441 strategies used to minimize initial invasions, subsequent secondary spread, and potential
442 impacts.

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689 Table 1: Taxonomic and biogeographic information for the 26 ascidian species introduced and
690 established in the U.S. Order is represented as ‘A’ for Aplousobranchia, ‘P’ for Phlebobranchia and ‘S’ for
691 Stolidobranchia. Body form is represented as ‘S’ for solitary and ‘C’ for colonial. Introduced coast is
692 represented by ‘P’ for Pacific, ‘A’ for Atlantic, and ‘G’ for Gulf. Dates and locations of first record are
693 valid for the full North American range (Mexico, the U.S. and Canada) of non-native ascidians.

Species	Order	Body form	Native Range	Introduced coast	Date of first record	Location of first record	Citation for first record
<i>Ascidia sydneyensis</i>	P	S	Indo-Pacific	A	1898	Santa Marta, Columbia	Van Name (1921)
<i>Ascidia zara</i>	P	S	NW Pacific	P	1984	LA/Long Beach, CA	Lambert and Lambert (1998)
<i>Ascidella aspersa</i>	P	S	NE Atlantic	A	1983	Cape Cod Canal, MA	James T. Carlton pers comm.
<i>Botrylloides giganteum</i>	S	C	SW Pacific	P	1997	San Diego, CA	Lambert and Lambert (1998)
<i>Botrylloides violaceus</i>	S	C	NW Pacific	P,A	P: 1966 A: 1980	P: Santa Barbara, CA A: Groton, CT	P: Lambert and Lambert (1998) A: Whitlatch and Osman (2000)
<i>Botryllus schlosseri</i>	S	C	NE Atlantic	P	1947	San Francisco, CA	Carlton (1979)
<i>Ciona robusta</i>	P	S	NW Pacific	P	1897	San Diego, CA	Carlton (1979)
<i>Ciona savignyi</i>	P	S	NW Pacific	P	1985	LA/Long Beach, CA	Lambert and Lambert (1998)
<i>Clavelina lepadiformis</i>	A	C	NE Atlantic	A	2009	New London, CT	Reinhardt et al. (2010)
<i>Corella inflata</i>	P	S	NE Pacific	P	2003	Coos Bay, OR	Ruiz et al. unpublished
<i>Didemnum perlucidum</i>	A	C	Indo-Pacific	A,G	A: 2004 G: 1999	A: Miami, FL; G: Stetson Bank, TX	A: Ruiz et al. unpublished G: Culbertson and Harper (2000)
<i>Didemnum psammotodes</i>	A	C	Indo-Pacific	A,G	A: 1988 G: 2004	A: Indian River, FL G: South Padre Is, TX	A: Bingham (1992) G: Lambert et al. (2005)
<i>Didemnum vexillum</i>	A	C	NW Pacific	P,A	P: 1993 A: 1982	P: San Francisco, CA A: Damariscotta, ME	P: Cohen (2005) A: Dijkstra and Nolan (2011)
<i>Diplosoma listerianum</i>	A	C	unknown	P,A	P: 1899 A: 1975	P: San Diego, CA A: Groton, CT	P: Eldredge (1966) A: James T. Carlton pers comm.
<i>Diplosoma sp. aff. spongiforme</i>	A	C	NE Atlantic	A,G	A: 2002 G: 2002	A: Indian River, FL G: Tampa Bay, FL	A: Ruiz et al. unpublished G: Ruiz et al. unpublished
<i>Ecteinascidia turbinata</i>	P	C	unknown	A	1961	Wachapreague, VA	US National Museum of Natural History
<i>Microcosmus squamiger</i>	S	S	Indo-Pacific	P	1986	LA/Long Beach, CA	Lambert and Lambert (1998)
<i>Molgula citrina</i>	S	S	Arctic Boreal	P	2008	Kachemak Bay, AK	Lambert et al. (2010)
<i>Molgula ficus</i>	S	S	Indo-Pacific	P	1994	San Diego, CA	Lambert (2007b)
<i>Molgula manhattensis</i>	S	S	Western Atlantic	P	1949	Tomales Bay, CA	Carlton (1979)
<i>Perophora japonica</i>	P	C	NW Pacific	P	2003	Humboldt Bay, CA	Lambert (2005b)
<i>Polyandrocarpa zorritensis</i>	S	C	unknown	P,A,G	P: 1994 A: 1986 G: 2002	P: Oceanside, CA A: Indian River, FL G: Clearwater, FL	P: Lambert and Lambert (1998) A: Dalby and Young (1992) G: Lambert et al. (2005)
<i>Styela canopus</i>	S	S	Indo-Pacific	P,A,G	P: 1972 A: 1852 G: 1879	P: San Diego, CA A: Boston, MA G: 'off Southern FL'	P: Lambert and Lambert (1998) A: Stimpson (1852) G: US National Museum of Natural History
<i>Styela clava</i>	S	S	NW Pacific	P,A	P: 1933 A: 1970	P: Newport Beach, CA A: Beverly, MA	P: MacGinitie and MacGinitie (1968), A: Berman et al. (1992)
<i>Styela plicata</i>	S	S	unknown	P,A,G	P: 1915 A: 1880 G: 1877	P: San Diego, CA A: Charleston, SC G: Cedar Key, FL	P: Ritter and Forsyth (1917) A/G: U.S. National Museum of Natural History (East and Gulf)

694 Table 2: List of the 22 bays in the U.S. where fouling plate surveys were carried out, including years
 695 sampled and the number and identity of the non-native ascidian species recorded. Species marked with a
 696 * are first records for that bay. Additional established species, known from the extensive literature review
 697 and unpublished records, are also noted. In many cases, these species were introduced after plate
 698 sampling was conducted.

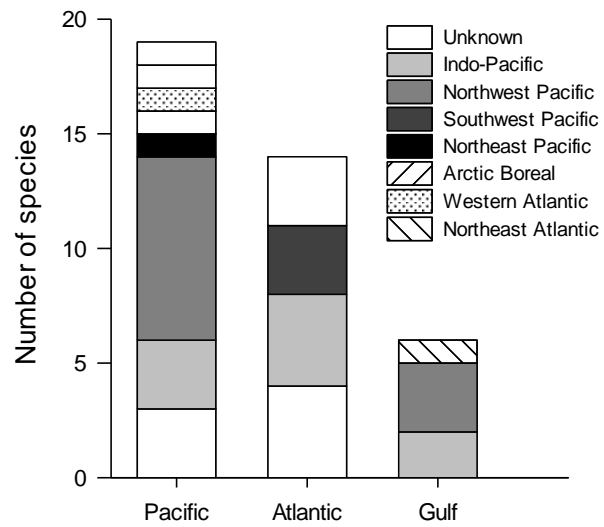
Coast	Site Name	Latitude (°N)	Year(s) sampled	# of species recorded	Species recorded on fouling plates	Additional established species	Total species
<i>West</i>							
	San Diego Bay, CA (SD, CA)	32.73	2000, 2013	15	<i>A. zara</i> , <i>B. violaceus</i> , <i>B. schlosseri</i> , <i>C. robusta</i> , <i>C. savignyi</i> , <i>D. vexillum</i> , <i>D. listerianum</i> , <i>M. squamiger</i> , <i>M. ficus</i> , <i>M. manhattensis</i> , <i>P. zorritensis</i> , <i>S. canopus</i> , <i>S. clava</i> , <i>S. plicata</i> , <i>S. reptans</i>	<i>B. giganteum</i> , <i>P. japonica</i>	17
	Mission Bay, CA (MI, CA)	32.78	2013	13	<i>A. zara</i> , <i>B. violaceus</i> , <i>B. schlosseri</i> , <i>C. robusta</i> , <i>C. savignyi</i> , <i>D. vexillum</i> , <i>D. listerianum</i> , <i>M. squamiger</i> , <i>M. ficus</i> , <i>P. zorritensis</i> , <i>S. clava</i> , <i>S. plicata</i> , <i>S. reptans</i> .	<i>B. giganteum</i> , <i>S. canopus</i>	15
	Long Beach, CA (LB, CA)	33.77	2003	13	<i>A. zara</i> , * <i>B. giganteum</i> , <i>B. violaceus</i> , <i>B. schlosseri</i> , <i>C. robusta</i> , <i>C. savignyi</i> , <i>D. listerianum</i> , <i>M. squamiger</i> , <i>M. ficus</i> , <i>M. manhattensis</i> , <i>P. zorritensis</i> , <i>S. clava</i> , <i>S. plicata</i>	<i>S. canopus</i>	14
	Morro Bay, CA (MB, CA)	35.37	2013	4	<i>B. violaceus</i> , <i>B. schlosseri</i> , <i>D. vexillum</i> , <i>D. listerianum</i>	<i>C. robusta</i> , <i>M. manhattensis</i>	6
	San Francisco Bay, CA (SF, CA)	37.62	2000, 2001, 2011, 2012, 2013	10	* <i>A. zara</i> , <i>B. violaceus</i> , <i>B. schlosseri</i> , <i>C. robusta</i> , <i>C. savignyi</i> , <i>C. inflata</i> , <i>D. vexillum</i> , <i>D. listerianum</i> , <i>M. manhattensis</i> , <i>S. clava</i>	<i>P. zorritensis</i>	11
	Bodega Bay, CA (BB, CA)	38.33	2012	8	<i>A. zara</i> , <i>B. violaceus</i> , <i>B. schlosseri</i> , <i>C. robusta</i> , <i>D. vexillum</i> , <i>D. listerianum</i> , <i>M. manhattensis</i> , * <i>P. japonica</i>	<i>C. savignyi</i> , <i>S. clava</i>	10
	Humboldt Bay, CA (HB, CA)	40.72	2003	6	<i>B. violaceus</i> , <i>B. schlosseri</i> , <i>C. savignyi</i> , <i>D. listerianum</i> , <i>M. manhattensis</i> , <i>P. japonica</i>	<i>C. robusta</i> , <i>C. inflata</i> , <i>D. vexillum</i> , <i>M. citrina</i> , <i>S. canopus</i>	11
	Coos Bay, OR (CB, OR)	43.37	2000	4	<i>B. violaceus</i> , <i>B. schlosseri</i> , <i>D. listerianum</i> , <i>M. manhattensis</i>	<i>C. inflata</i> , <i>D. vexillum</i> , <i>M. citrina</i> , <i>S. clava</i>	8
	Puget Sound, WA (PS, WA)	47.72	2000	6	<i>B. violaceus</i> , <i>B. schlosseri</i> , <i>C. savignyi</i> , * <i>D. listerianum</i> , <i>M. manhattensis</i> , <i>S. clava</i>	<i>D. vexillum</i>	7
	Ketchikan, AK (KT, AK)	55.34	2003	1	<i>B. violaceus</i>	<i>B. schlosseri</i>	2
	Sitka, AK (ST, AK)	57.05	2001	2	<i>B. violaceus</i> , * <i>B. schlosseri</i>	<i>D. vexillum</i>	3
	Biscayne Bay, FL (BB, FL)	25.57	2004	5	* <i>A. sydneyensis</i> , <i>D. perlucidum</i> , * <i>D. sp. aff. spongiforme</i> , * <i>S. canopus</i> , <i>S. plicata</i>	<i>D. psammatodes</i>	6

Coast	Site Name	Latitude (°N)	Year(s) sampled	# of species recorded	Species recorded on fouling plates	Additional established species	Total species
<i>East</i>	Indian River, FL (IR, FL)	28.06	2005	5	* <i>D. perlucidum</i> , <i>D. psammotodes</i> , * <i>P. zorritensis</i> , <i>S. canopus</i> , <i>S. plicata</i>	<i>D. sp. aff. spongiforme</i>	6
	Jacksonville, FL (JX, FL)	30.33	2001	2	<i>S. canopus</i> , <i>S. plicata</i>		2
	Pensacola Bay, FL (PB, FL)	30.42	2002	3	<i>D. perlucidum</i> , <i>S. canopus</i> , <i>S. plicata</i>		3
	Charleston Harbor, SC (CH, SC)	32.74	2002	2	* <i>S. canopus</i> , <i>S. plicata</i>		2
	Chesapeake Bay, VA (CB, VA)	37.58	2000, 2001, 2014	1	<i>S. plicata</i>	<i>B. violaceus</i>	2
	Narragansett Bay, RI (NB, RI)	41.47	2001	5	<i>A. aspersa</i> , <i>B. violaceus</i> , <i>D. listerianum</i> , <i>S. canopus</i> , <i>S. clava</i>	<i>D. vexillum</i>	6
<i>Gulf</i>	Portsmouth, NH (PT, NH)	43.07	2001, 2013	3	<i>B. violaceus</i> , <i>D. vexillum</i> , <i>D. listerianum</i>	<i>A. aspersa</i> , <i>S. clava</i>	5
	Tampa Bay, FL (TB, FL)	27.75	2002, 2012, 2014	3	<i>D. perlucidum</i> , * <i>S. canopus</i> , <i>S. plicata</i>	<i>D. sp. aff. spongiforme</i>	4
	Corpus Christi, TX (CC, TX)	27.80	2002	2	* <i>S. canopus</i> , <i>S. plicata</i>		2
	Galveston Bay, TX (GB, TX)	28.47	2002	1	* <i>S. canopus</i>		1

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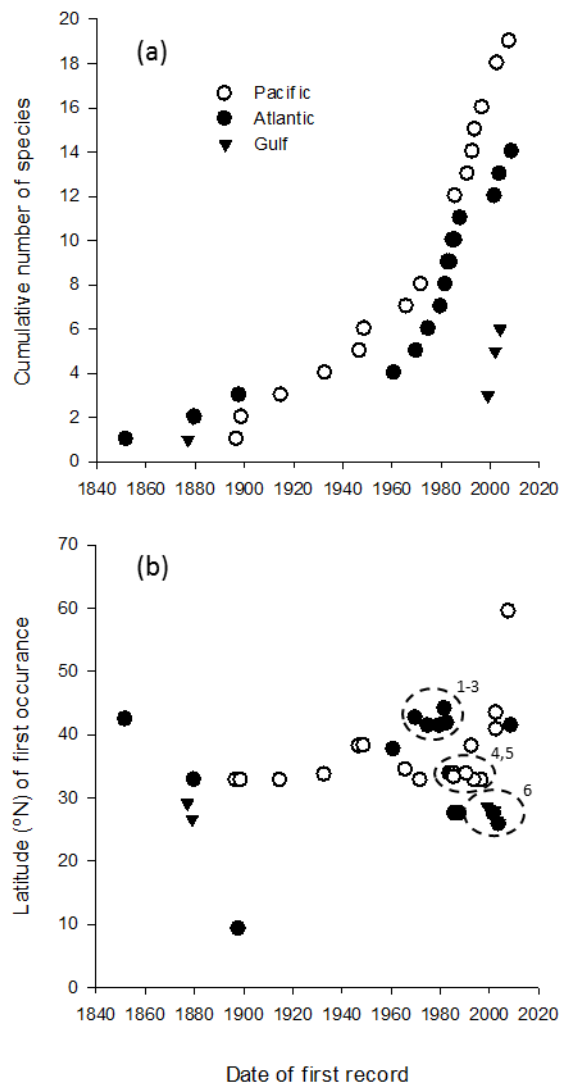
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701 Figure 1: The native range of ascidians introduced to the Pacific, Atlantic and Gulf coasts of the U.S.
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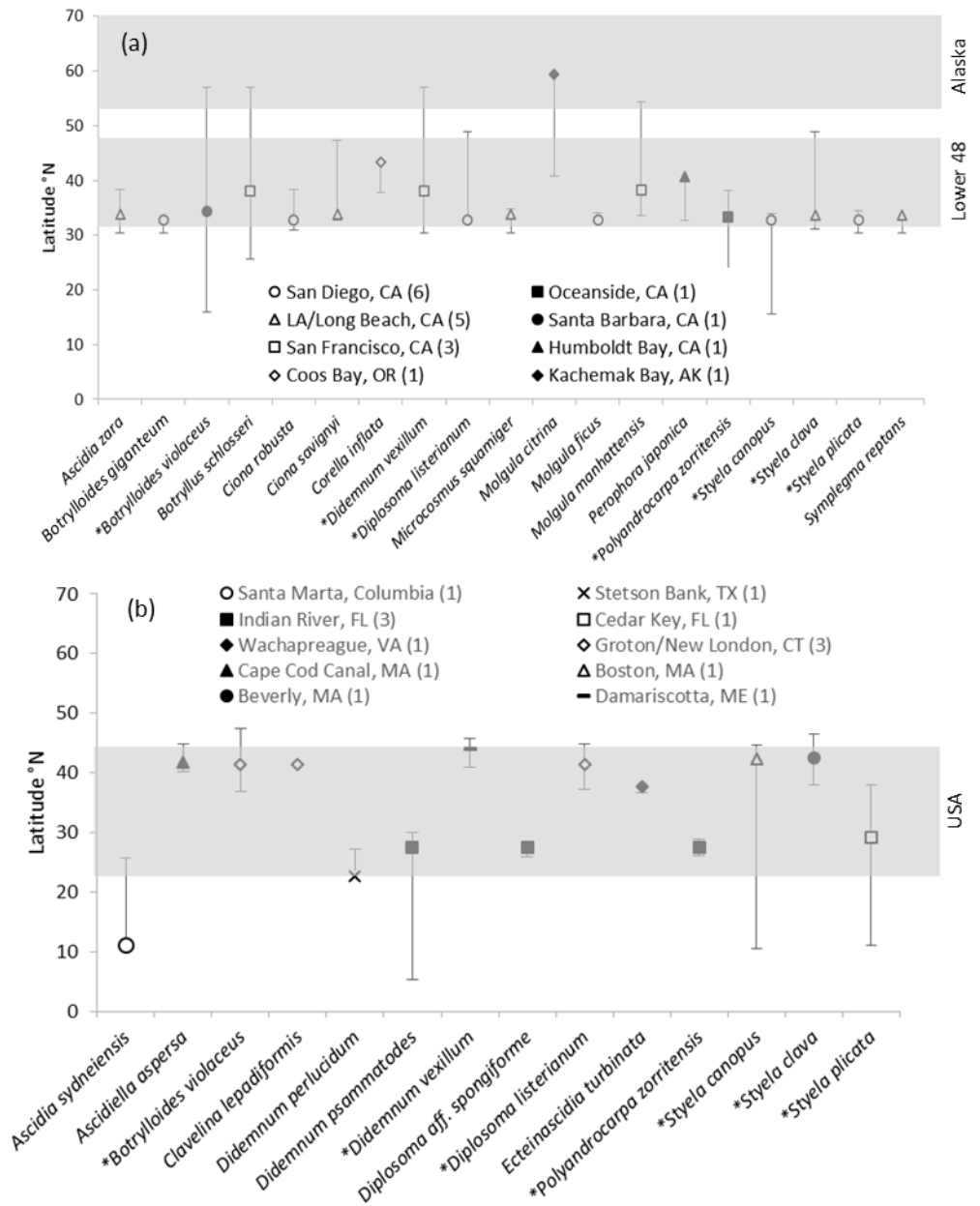
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707 Figure 2: Ascidian invasions through time shown as: (a) the cumulative number of species reported on
 708 each coast since 1840 and (b) the latitude of first occurrence for each species record per coast. Dotted
 709 circles represent research which lead to increases in non-native species discovery. References for first
 710 records include: ¹Berman et al. 1992, ²Whitlatch and Osman 2000, ³James T. Carlton personal
 711 communication, ⁴Lambert and Lambert 1998, ⁵Lambert and Lambert 2003, ⁶Lambert et al. 2005.



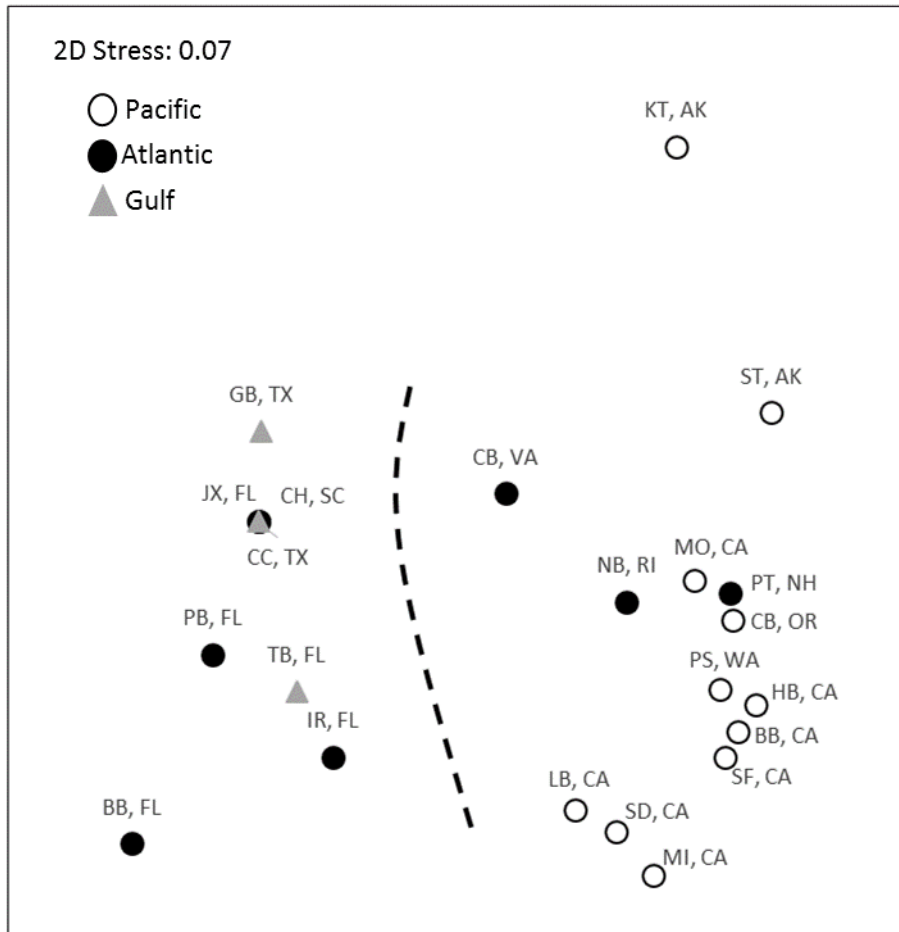
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719 Figure 3: The current North American range of ascidians introduced and established in the U.S. The
 720 latitude and location of first record for each coast: (a) Pacific and (b) Atlantic/Gulf is shown as symbols.
 721 Error bars represent the full latitudinal extent (°N) of species' continuous known non-native ranges.
 722 Atlantic and Gulf coasts are combined because the coastlines are continuous and species generally
 723 extended across both coasts. The total number of species with first records in each bay is shown in
 724 parentheses after the location name. Shaded areas represent continuous U.S. territory on each coastline.
 725 Species marked with * are introduced on the Pacific and Atlantic/Gulf coasts.



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729 Figure 4: A non-metric multidimensional scaling (nMDS) plot of non-native ascidian communities across
 730 bays in the U.S. (including species occurrences reported from fouling plate surveys and the extensive
 731 literature review). We included the full presence/absence dataset for species which are native or
 732 cryptogenic to one portion of the U. S., but introduced to another (e.g. *Botryllus schlosseri*, *Corella*
 733 *inflata*, *Molgula citrina*, and *Molgula manhattensis*). Location abbreviations match those listed in Table
 734 2.



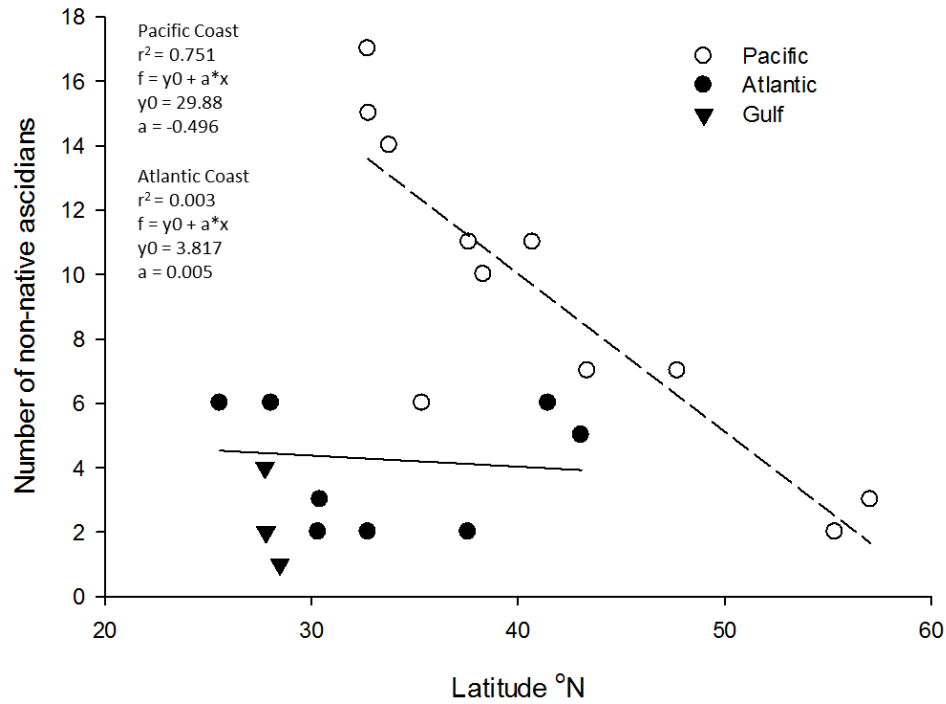
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738 Figure 5: The number of non-native ascidians across latitudes where field surveys were conducted within
739 bays on the Pacific, Atlantic, and Gulf coasts of the U.S.

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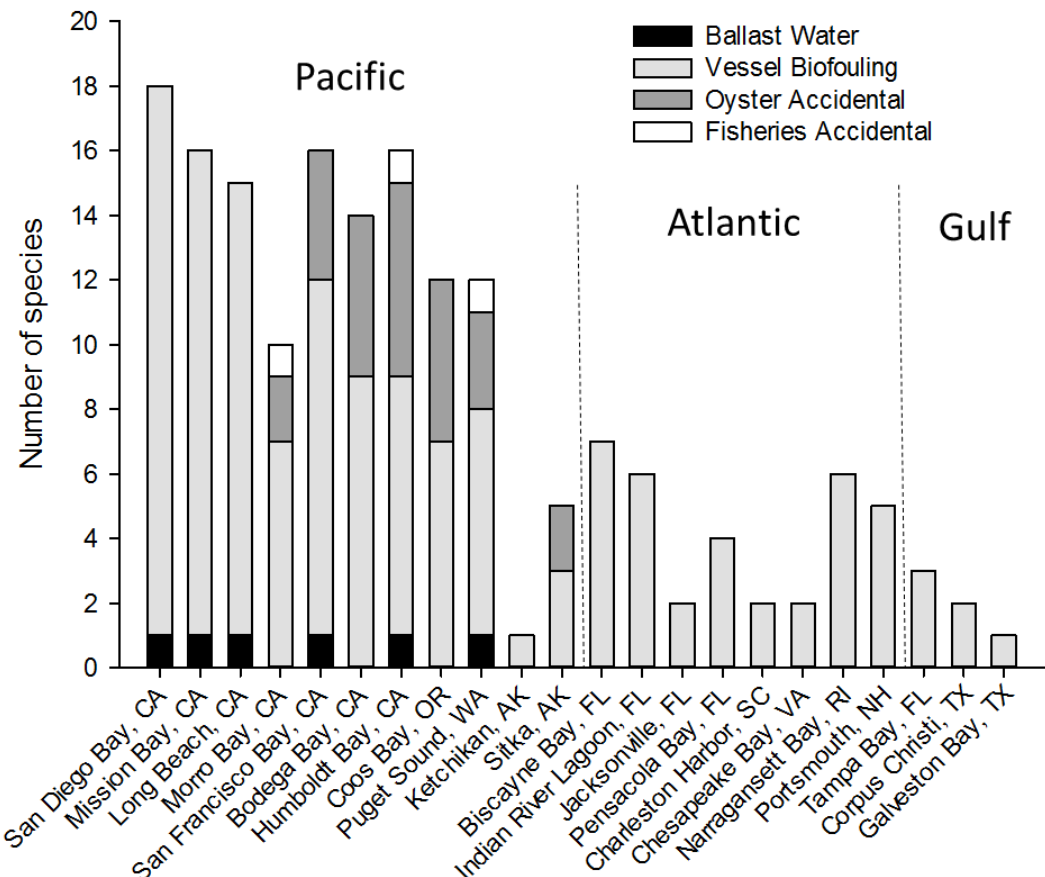
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751 Figure 6: The number of non-native ascidian species attributed to each invasion vector within the 22 bays
 752 where field surveys were conducted on the Pacific, Atlantic and Gulf coasts of the U.S. Some species may
 753 have been transported by multiple vectors and were therefore counted multiple times.

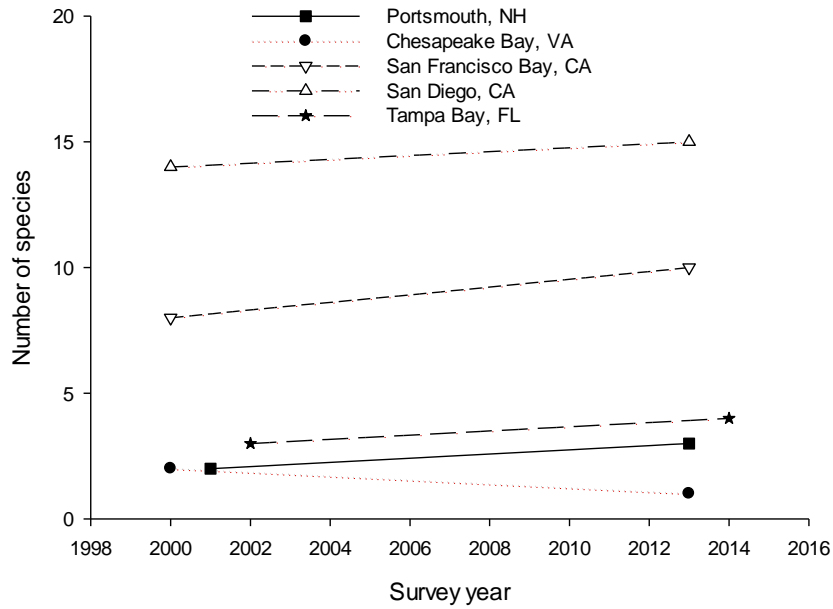


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758 Figure 7: The number of non-native ascidians recorded during repeated surveys at five sites in the U.S.
759 Survey sites included two bays on the Pacific: San Diego and San Francisco, California (CA); two on the
760 Atlantic: Chesapeake Bay, Virginia (VA) and Portsmouth, New Hampshire (NH); and one on the Gulf:
761 Tampa Bay, Florida (FL).

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