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WOODROW WILSON
INTERNATIONAL CENTER FOR SCHOLARS

Budget Justifications for the Fiscal Year 1972

Submitted to the Committees on Appropriations
Congress of the United States

January 1971

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GENERAL STATEMENT

The Woodrow Wilson Memorial Act, approved on October 24, 1968, (Public Law 90-637) established the Woodrow Wilson International Center for Scholars as the nation's official living memorial to the 28th President. The Center was placed in the Smithsonian Institution under the direction of a 15-man, mixed private and public Board of Trustees, subsequently appointed by President Johnson and President Nixon. Former Vice President Hubert H. Humphrey was designated Chairman of the Board.

After a seven-month planning period the Board of Trustees approved at its fall 1969 meeting the opening in October of 1970 of fellowship and guest scholar programs in space made available for the interim use of the Center in the newly renovated, original Smithsonian Institution building on the Mall.

In the fall, winter and spring of 1969-70 the Board of Trustees authorized the publication of notices about the programs of the Center, and the Center staff disseminated information about the programs widely by correspondence with colleges and universities in every state and a large list of individuals and institutions here and abroad, including several Fulbright-Hays binational commissions and foundations in other countries with the consent of the Secretary of State. Hundreds of nominations and applications were received throughout this period and processed by the staff to obtain references, interviews whenever possible and writings of the fellowship candidates. After obtaining the recommendations of outside academic advisory panels on the files of leading candidates, the Fellowship and Guest Scholar Committee of the Board of Trustees approved in March, June and December the issuance of 29 invitations for fellowship appointments which have been accepted by the recipients. Other outstanding applications have been received subsequently and more are expected in the months ahead, which will be processed in the same manner.

The scholars are undertaking work in three principal areas: (1) the increase of understanding, law and cooperative practice between nations in the uses of the oceans; (2) man's overall relations to his deteriorating environment, particularly the international institutional arrangements which must be worked out to resolve environmental problems transcending boundaries; and (3) general studies under the Center's basic theme of "increasing man's understanding of significant international governmental and social problems and improving the organization of society at all levels to meet such problems".

The terms of appointment vary from a few weeks to more than a year in duration; the average to date being more than ten months (6 weeks - 18 months). The average age of the fellows is 39. Half of the first fellows appointed come from the United States; the other half from nine other countries (Australia, England, France, India, Israel, Japan, Korea, Switzerland and Yugoslavia). The first scholars come from the social and behavioral sciences, the humanities, the natural sciences, and careers in government, law, diplomacy and journalism.

In addition, the Center has a small guest scholar program under which eminent visiting scholars, particularly those expert in the fields being emphasized at the Center, are welcome to work for brief periods of stay in Washington.

In response to strong urging received during the legislative hearings, planning, congressional appropriation hearings and subsequent stages, the Center is sponsoring a series of seminars, dinner discussions and lectures to which members of the Executive and Legislative branches, the Diplomatic Corps and the public are invited on a fairly regular basis.

Funding

Section 6 of the legislation creating the Center authorized the appropriation "to the Board" of "such funds as may be necessary to carry out the purposes of the Act" and directed the Board to raise money from private sources as well.

Funding for planning, administrative and preparatory operations from March, 1969, through June 30, 1970, were based on the following sources:

Ford Foundation Grant.....	\$45,000
Federal Appropriations for Fiscal Year-70	
(\$100,000) Actually Obligated.....	90,799.74

In addition, the State Department transferred \$25,000 to the Smithsonian Institution, which was obligated in fiscal year 1970, to support in part the fellowship appointments at the Center of four duly appointed non-US scholars who arrived during fiscal year 1971.

For preparation and operation (from October 19) of the Center during fiscal year 1971, Congress appropriated \$750,000. On September 1, 1970, \$620,000 was apportioned for the Center's use by the Office of Management and Budget for the administrative expenses of staffing and equipping the Center and for support of the fellowship program.

In addition, the congressional appropriation committees specifically approved the extension of a \$65,000 matching grant to the Center from the National Endowment for the Humanities to support the Center's fellowship program of scholarship in the humanities. As of January 1, 1971, the Center had raised \$38,125.00 of private donations to the NEH so that an additional like amount of matching funds have been made available for the fellowship program. In addition, the Center had raised \$100,075.00 of general purpose donations and \$87,500 of special purpose private contributions as of the same date for a total of \$263,825.00 in private support.

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1970 Appropriation..... 0*
1971 Appropriation..... \$750,000.00
1972 Estimate..... 683,000.00

Without consideration of the anticipated \$130,000 unobligated fiscal year 1971 balance, the \$683,000 requested for fiscal year 1972 is \$67,000 below the \$750,000 appropriated in fiscal year 1971 and \$63,000 above the \$620,000 actually apportioned by the Office of Management and Budget in fiscal year 1971. When the \$130,000 unobligated balance is added to the \$683,000 requested for fiscal year 1972, the combined total of \$813,000 is \$193,000 above the \$620,000 apportioned in fiscal year 1971 or \$63,000 above the fiscal year 1971 appropriation. The increase is due almost entirely by virtue of the twelve month operation of the Center in fiscal year 1972 as opposed to 8 1/2 months of operation in fiscal year 1971.

The \$683,000 requested will be spent as follows:

Fellowship Program..... \$332,000

The sum requested plus the \$130,000 available in the unobligated balance at the end of fiscal year 1971 will permit the Center to commit funds during fiscal year 1972 for 20 full fellowships to begin during fiscal year 1972 or fiscal year 1973 at an average anticipated cost of \$23,100.00 apiece for stipends and travel. The anticipated costs are based on the same average yearly rate experience levels on which the fiscal year 1971 budget estimates were made with the addition of a five percent actual cost for handling bookkeeping, disbursements and accounting.

The Center's stipend policy, which was approved by the Board of Trustees and spelled out in detail in 1970 submissions to the appropriation committees, is based on the precedents used by the Center for Advanced Study in the Behavioral Sciences (Palo Alto) and the Adlai Stevenson Institute of International Affairs. Each appointed fellow is asked first to devote his sabbatical leave or other earned income during the fellowship period toward his support while at the Center and to seek outside foundation or other support for his study proposal whenever possible. Thereafter, the Center's policy is to attempt to provide the fellow with a stipend rate which would be a no-gain no-loss figure over his earned income rate in the preceding year within set limits (maximum \$25,000 offered to date; \$30,000 authorized) and with a cost-of-living increase for non-U.S. scholars to bring them to an equivalent stipend with U.S. scholars of comparable position.

Most scholars of the stature appointed by the Center to date simply cannot be expected to come at a lower average stipend figure and many will come at a loss because of the preset limit, even though many of them will be devoting sabbatical or leave pay, foundation funds and other outside income to their support during the period of appointment at the Center. The highest stipends offered at the Center for Advanced Study in the Behavioral Sciences, the Fogarty Center (NIH), the Princeton Institute for Advanced Study and the Adlai Stevenson Institute

* \$100,000 for Woodrow Wilson Center was included in Smithsonian's "Salaries and Expenses", Fiscal Year 1970.

are all higher than those offered by the Center to date. The most recent data of the American Association of University Professors shows that in the academic year 1969-70, ten percent of all universities in the U.S. had a mean compensation for full professors above \$23,970.

In addition, the Center offers reimbursement of travel expenses for American carrier, round-trip, direct route, jet economy fare for the fellow and his accompanying dependent family members between his home city and Washington.

As in the present fiscal year, the Center's goal in fiscal year 1972 will be to raise an equivalent amount of private monies for support of the fellowship program to fund an additional 20 fellowships and to bring the Center up to full complement of 40 scholars. The "critical mass" for the Center according to the best advice we have been able to gather is a minimum of 20 to 40 scholars at all times. Without such a minimum number it would be hard for the Center to establish a fully satisfactory intellectual life of its own.

At a meeting of the Board of Trustees Executive Committee on September 23, 1970, it was decided that the Center, OMB and Congressional fiscal year 1972 budget presentations should state as a general principle that the Center would not request public appropriations for more than twenty full fellowships in any given year during the occupancy of present interim quarters, and the Board and staff would attempt each year to raise funds from the private sector to finance an additional equal number of fellowships. The Center's fund raising capability and experience will be fully tested in the months ahead, and a major corporation and foundation fund drive is now being launched.

The experience of other centers and the Wilson Center to date indicates that the heavy majority of appointments must be made many months in advance of the date of the beginning of the period of appointment, and for this reason the Center is requesting the same "no year" provision for the fiscal year 1972 federal appropriation with Congress provided in the Center's appropriation for fiscal year 1971.

Administrative Expenses \$351,000

The \$351,000 request for administrative expenses of the Center in fiscal year 1972 represents an increase of \$11,000 over the sum estimated for this purpose under the figure apportioned for fiscal year 1971.

The breakdown of this figure is as follows:

(1) Staff Salaries - 5 substantive; 10 clerical

1 Director (GS-18)	35,505	
1 Deputy Director (GS-16)	27,432	
1 Administrative Officer (GS-13)	17,878	
1 Librarian (GS-12)	14,665	
1 Information Center Officer (GS-11)	12,302	
10 Secretaries for staff, fellows and guest scholars (GS-9, 1 GS-8, 1 GS-7, 7 GS-5/6 at \$7500 @)	80,000	
1 Equivalent part-time GS 5/6 secretarial	6,879	
	194,661	
	14,339	
	<u>209,000</u>	209,000

(2) Furnishings, Equipment, Supplies, etc.

(a) Furnishings and Equipment	10,000	
(b) Supplies	10,000	
(c) Reference Library (books and periodicals)	23,000	
(d) Printing	9,000	
(e) Travel (trustees, staff and scholars)	15,000	
(f) Consultations (scholar coordinators, fund raising, guest scholars)	30,000	
(g) Seminars, dinner discussions, lectures	20,000	
(h) Permanent Site Selection Scholar Survey	13,500	
(i) Phones	7,500	
(j) Other (postage, etc.)	4,000	
	<u>142,000</u>	<u>142,000</u>
		351,000

A. Staff Salaries

The Center is budgeting in fiscal year 1972, except for the addition of limited part-time secretarial help, for the same number of permanent positions (15) as to be employed in the current fiscal year; 5 professionals; 10 clerical and one part-time clerical--\$209,000. The cost increase of \$26,000 over estimated current fiscal year costs is due to anticipated in-grade promotions and the added year-round cost for the pool secretaries for the fellows, who are on the rolls for only part of the current fiscal year but the entire year in fiscal year 1972. The need for additional part-time clerical assistance is anticipated because of the expected cyclical nature of the workload. Clerical help positions included are designed to permit the Center to assign one secretary to every 4 or 5 scholars, which is ratio found acceptable at other centers of advanced studies. The proposed staff arrangement appears minimal to run the Center, process the heavy flow of applications and scholar selection procedures--which are often as onerous for unseccessful as for successful candidates--and to provide the essential services approved by the Board of Trustees for the fellows, guest scholars and members of the public.

B. Other Administrative Expenses of \$142,000 are largely self-explanatory.

The proposed scholar survey item, which will be undertaken jointly with the Archives and Library of Congress, will attempt to develop the first precise information about the resident and transient post-doctorate scholars in the Washington area, their work habits and residential arrangements. This information is needed to provide basic data for an intelligent choice of a permanent site for the Center, as directed in the Woodrow Wilson Memorial Act. The furnishings and equipment item is, of course, a fraction of the current fiscal year costs, since the Center will have acquired during the current fiscal year the major share of needed physical assets, other than basic reference library acquisitions which will be spread over three years.

SMITHSONIAN INSTITUTION LIBRARIES



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