

1 SURVEY OF *ANAPLASMA PHAGOCYTOPHILUM* ANTIBODIES IN CAPTIVE  
2 PRZEWALSKI'S HORSES (*EQUUS FERUS PRZEWALSKII*)

3

4 Richard R. Sim, D.V.M.; Luis R. Padilla, D.V.M., Dipl. A.C.Z.M.; Priscilla H. Joyner,  
5 B.V.M.S., Dipl. A.C.Z.M.; Paul Anikis, D.V.M.; and Copper Aitken-Palmer, D.V.M., Ph.D.,  
6 Dipl. A.C.Z.M.

7

8 From the Wildlife Center of Virginia, PO Box 1557, Waynesboro, Virginia 22980, USA  
9 (Sim); Department of Conservation Medicine, Smithsonian Conservation Biology Institute, 1500  
10 Remount Road, Front Royal, Virginia 22630, USA (Padilla, Joyner, Aitken-Palmer); and  
11 Piedmont Equine Practice, 4122 Zulla Road, The Plains, Virginia 20198, USA (Anikis). Present  
12 addresses (Sim): Birmingham Zoo, Inc., 2630 Cahaba Road, Birmingham, Alabama 35223,  
13 USA; (Padilla): Saint Louis Zoo, 1 Government Drive, Saint Louis, Missouri 63110, USA;  
14 (Aitken-Palmer): Chicago Zoological Society, Brookfield Zoo, 3300 Golf Rd, Brookfield,  
15 Illinois 60513, USA.

16

17 Corresponding author: Richard R. Sim, Birmingham Zoo, Inc., 2630 Cahaba Road,  
18 Birmingham, Alabama 35223, USA; sim.richardr@gmail.com.

19 Abstract: *Anaplasma phagocytophilum* (formerly *Ehrlichia equi*) is a tick-borne pathogen of  
20 domestic horses and the causative agent of Equine Granulocytic Anaplasmosis. After the  
21 occurrence of clinical anaplasmosis in a Przewalski's horse (*Equus ferus przewalskii*) housed at  
22 the Smithsonian Conservation Biology Institute in 2008, opportunistic serosurveillance of the  
23 herd was initiated. From 2008 to 2014, 57 serum samples were collected from 27 individuals (10  
24 males; 17 females). Using indirect immunofluorescent antibody assays assay for anti-*Anaplasma*  
25 *phagocytophilum* antibodies, it was determined that the prevalence was 53%. No significant sex  
26 differences were identified. A statistical association between increasing age and seropositive  
27 status suggests cumulative risk of exposure to *Anaplasma phagocytophilum*. After exclusion of  
28 four clinical cases of anaplasmosis, it was found that 22 – 57% of those sampled each year were  
29 seropositive and clinically normal, suggesting that the majority of Przewalski's horses develop  
30 subclinical or self-limiting anaplasmosis after exposure to *A. phagocytophilum*.

31

32 *Key words:* *Anaplasma phagocytophilum*, *Equus ferus przewalskii*, IFA, indirect  
33 immunofluorescent antibody assay, Przewalski's horse.

34

## 35 BRIEF COMMUNICATION

36

37 The Przewalski's horse (P-horse; *Equus ferus przewalskii*) is a subspecies of the wild  
38 horse (*Equus ferus*) that is native to central Asia and became extinct in the wild in the late 1960s  
39 primarily due to habitat loss, hunting, and competition with domestic livestock.<sup>4</sup> With the  
40 assistance of successful ex-situ conservation programs, the species has been successfully  
41 reintroduced to Mongolia and China, and is now classified as endangered by the International  
42 Union for Conservation of Nature.<sup>4</sup>

43 The Smithsonian Conservation Biology Institute (SCBI) in Virginia, USA has  
44 contributed to P-horse ex-situ conservation for nearly four decades. Clinical disease associated  
45 with *Anaplasma phagocytophilum* infection in a captive P-horse at SCBI first occurred in 2008,  
46 and four other occurrences of clinical anaplasmosis were subsequently identified.<sup>11</sup> *Anaplasma*  
47 *phagocytophilum* is a tick-borne, non-contagious pathogen of carnivores, ruminants, humans,  
48 and domestic horses.<sup>12</sup> It is the causative agent of Equine Granulocytic Anaplasmosis (EGA),  
49 and is seasonally transmitted by the *Ixodes* spp. tick vector.<sup>2,5,6,9</sup> The SCBI facility has 3100  
50 acres of deciduous forest, cultivated agricultural fields, and pastures, of which approximately  
51 1000 acres are used to house collection animals, including herds of P-horses. Ticks of varying  
52 species are present throughout the SCBI property, including *I. scapularis*, and *A.*  
53 *phagocytophilum* is known to be endemic in this region.<sup>1</sup> From 2008 to 2014, this study was  
54 initiated to investigate the seroprevalence of *A. phagocytophilum* in this captive population to  
55 understand the disease exposure. This report evaluates those data retrospectively to describe  
56 patterns of exposure and titer development of *A. phagocytophilum* in P-horses.

57 From 2008 to 2014, 57 blood samples were collected from 27 P-horses housed at SCBI.  
58 Samples were collected opportunistically at different times of the year when animals were  
59 restrained for examinations or procedures. Chemical restraint with a variety of anesthetic drugs  
60 was employed throughout the study period, and from 2013 onward physical restraint with a  
61 hydraulic mechanical restraint device (Fauna Hydraulic TAMER, Fauna Research Inc., Red  
62 Hook, New York 12571, USA) was also employed for awake or sedated mare examinations.  
63 After collection, blood was allowed to clot and centrifuged for separation of serum within 0.5 to  
64 3 hr of collection. Blood was kept cool on ice or in refrigeration until centrifugation and testing.  
65 Testing for antibodies to *A. phagocytophilum* was via indirect immunofluorescent antibody assay  
66 (IFA) performed by the University Tennessee College of Veterinary Medicine Diagnostic  
67 Laboratory Services (UTDLS; Knoxville, Tennessee 37996, USA). The UTDLS laboratory  
68 considers titers  $\geq 1:80$  to be moderate to high in level of antibody and likely more indicative of  
69 current or recent exposure. For the purposes of this manuscript, titers  $\geq 1:80$  will be referred to  
70 as positive.

71 Of the 27 P-horses sampled, 10 were male and 17 were female (Table 1). If an individual  
72 was sampled more than once in a year, then only the last sample of the year was tabulated into  
73 the data. Eleven P-horses were sampled once, eight were sampled twice, four were sampled  
74 three times, two were sampled four times, and two were sampled five times. When first  
75 sampled, five P-horses were subadult ( $<3$  yr), 13 were considered adult ( $\geq 3$  yr and  $< 20$  yr), and  
76 nine were considered geriatric ( $\geq 20$  yr); although one P-horse from each age group entered the  
77 next age group on subsequent sampling. The median age at first sampling was 17.4 yr (range  
78 1.8-32.1 yrs) with the median age of 15.7 yr (range 1.8-28.6 yrs) for female P-horses and the  
79 median age of 18.3 yr (1.8-32.1 yrs) for males.

80 It was found that 30 – 67% of the SCBI herd sampled each year were seropositive for *A.*  
81 *phagocytophilum*, with an overall 53% (30 of 57; 95% confidence interval, 40 – 60%)  
82 seroprevalence from 2008 – 2014 (Table 2). The variation of seroprevalence between years may  
83 be influenced by surveillance bias as sample collection from P-horses was opportunistic. Titer  
84 persistence for individuals between years was variable, but the majority of individuals, once  
85 seropositive, persisted above the cut-off point. A minority of individuals had titers that waned  
86 with the individual becoming seronegative. Fisher's exact test was utilized to detect any  
87 differences in sex or age group of individual antibody-positive P-horses at the time that  
88 individual initially seroconverted or at the time of first sampling if that individual never  
89 seroconverted. Results were considered statistically significant at  $P < 0.05$ . A similar number of  
90 males (seven of 10 [70%]) and females (10 of 17 [58%]) were *A. phagocytophilum* antibody  
91 positive, and no significance was found between sexes ( $P = 0.69$ ). By age group, zero of four  
92 (0%) subadult P-horses, eight of 13 (62%) adults, and nine of 10 (90%) geriatrics were antibody  
93 positive. Geriatric horses were significantly more likely to be seropositive than subadult horses  
94 ( $P = 0.005$ ), but there was no difference in titer status between adults and either subadult ( $P =$   
95  $0.08$ ) or geriatric horses ( $P = 0.179$ ). This association between seropositive status and increasing  
96 age suggests cumulative risk of exposure over time.

97 Excluding the four clinical occurrences of anaplasmosis where IFAs were measured, 22 –  
98 57% of the SCBI herd sampled each year and 49% (26 of 53) overall were seropositive, but  
99 asymptomatic for *A. phagocytophilum* infection during 2008 – 2014.<sup>11</sup> These results reveal that  
100 the majority of P-horse exposed to *A. phagocytophilum* are subclinical and may develop self-  
101 limiting anaplasmosis. Reports in domestic horses suggest a similar pattern in that clinical cases  
102 of EGA occur sporadically, but serological surveys indicate that the chance of exposure to the

103 causative agent in horses may be common, particularly in enzootic areas.<sup>3,8</sup> Genetic variation  
104 may influence clinical outcome as *A. phagocytophilum* displays genetic heterogeneity with  
105 varied clinical disease in cattle, horses, humans, and sheep in North America and Europe.<sup>10,12</sup>  
106 Particular *A. phagocytophilum* variants have caused low morbidity in one species and high  
107 morbidity in another.<sup>10</sup> One study, in Germany, showed that high genetic variation can exist  
108 within a small geographic areas by sequencing five different pathogenic *A. phagocytophilum*  
109 variants in 14 domestic horse EGA cases.<sup>10</sup> In the eastern United States, white tailed deer  
110 (*Odocoileus virginianus*) are established reservoir hosts for an apathogenic variant (Ap-V1) of  
111 *A. phagocytophilum*, and multiple other wild mammals are reservoir competent, including the  
112 white-footed mouse (*Peromyscus leucopus*), raccoon (*Procyon lotor*), and gray squirrel (*Sciurus*  
113 *carolinensis*).<sup>7,12</sup> All of these wild mammals are pervasive on the SCBI landscape, so inherent  
114 genetic variation of *A. phagocytophilum* could be cause for the variable clinical response in P-  
115 horses.

116       Due to the relatively high rates of positive serology, *A. phagocytophilum* should be  
117 considered enzootic to P-horses when housed in pastures in an enzootic region with known *A.*  
118 *phagocytophilum* and *Ixodes* spp. EGA is considered enzootic in domestic horses in the Czech  
119 Republic where one study found that 100% of P-horses (10/10) tested were seropositive to *A.*  
120 *phagocytophilum* by IFA with no reported disease.<sup>8</sup> It is unclear why there were no clinical  
121 cases of anaplasmosis in these P-horses. Similarly, the P-horses at SCBI are in a region that is  
122 considered enzootic for *A. phagocytophilum*. Their access to large pastures that are shared with  
123 native wildlife reservoirs for anaplasmosis and tick vectors puts them at increased risk of  
124 exposure to this pathogen. As *A. phagocytophilum* has a worldwide distribution with suitable  
125 tick vectors, it could be a future threat to in-situ conservation efforts for P-horses in Mongolia

126 and China.<sup>2</sup> While the prevalence of clinical anaplasmosis is low (four clinical cases out of 30  
127 positive titers), morbidity can be severe and debilitating.<sup>11</sup> Understanding P-horse immunologic  
128 response to *A. phagocytophilum* should be pursued. The authors recommend PCR testing with  
129 genetic sequencing to confirm clinical anaplasmosis, serology to monitor population exposure,  
130 and further study of anaplasmosis as a component of ex-situ and in-situ P-horse conservation  
131 efforts.

132

133 Acknowledgements: The authors thank Dolores Reed and the animal care staff of the  
134 Smithsonian Conservation Biology Institute for the care and husbandry of the P-horse herd.

135

## 136 LITERATURE CITED

137

138 1. Centers for Disease Control and Prevention [Internet]. Annual Cases of Anaplasmosis in the  
139 United States; c2016 Jan 5 [cited 2016 July 18]. Available from:

140 <http://www.cdc.gov/anaplasmosis/stats/>.

141 2. Dziegiel B, Adaszek L, Kalinowski M, Winiarczyk S. Equine granulocytic anaplasmosis. Res  
142 Vet Sci. 2013;95:316-320.

143 3. Franzén P, Aspan A, Egenvall A, Gunnarsson A, Åberg L, Pringle J. Acute clinical,  
144 hematologic, serologic, and polymerase chain reaction findings in horses experimentally  
145 infected with a European strain of *Anaplasma phagocytophilum*. J Vet Intern Med. 2005;19:  
146 75–82.

147 4. King SRB, Boyd L, Zimmerman W, Kendall BE. *Equus ferus*. In: The IUCN Red List of  
148 Threatened Species 2016: e.T41763A97204950. [cited 2016 July 18]. Available from:  
149 <http://www.iucnredlist.org>.

150 5. Lewis SR, Zimmerman K, Dascanio JJ, Pleasant RS, Witonsky SG. Equine granulocytic  
151 anaplasmosis: a case report and review. J Equine Vet Sci. 2009; 29:160-166.

152 6. Madigan JE, Pusterla N. Equine Granulocytic Anaplasmosis (Formerly Ehrlichiosis). In:  
153 Sprayberry KA, Robinson NE (Eds.). Robinson's Current Therapy in Equine Medicine, 7th  
154 ed. St Louis (MO): Elsevier; 2015. Pp. 193-195.

155 7. Massung RF, Courtney JW, Hiratzka SL, Pitzer VE, Smith G, Dryden RL. *Anaplasma*  
156 *phagocytophilum* in white-tailed deer. Emerg Infect Dis. 2005;11:1604-1606.

157 8. Praskova I, Bezdekova B, Zeman P, Jahn P. Seroprevalence of *Anaplasma phagocytophilum*  
158 in horses in the Czech Republic. Ticks Tick-borne Dis. 2011;2:111-115.



- 159 9. Pusterla N, Madigan JE. Equine granulocytic anaplasmosis. J Equine Vet Sci. 2013;33:493-  
160 496.
- 161 10. Silaghi C, Liebisch G, Pfister K. Genetic variants of *Anaplasma phagocytophilum* from 14  
162 equine granulocytic anaplasmosis cases. Parasites & vectors. 2011;4:161.
- 163 11. Sim RR, Joyner PH, Padilla LR, Anikis P, Aitken-Palmer C. Clinical disease associated with  
164 *Anaplasma phagocytophilum* infection in captive Przewalski's horses (*Equus ferus*  
165 *przewalskii*). J Zoo Wildl Med. *in review*.
- 166 12. Stuen S, Granquist EG, Silaghi C. *Anaplasma phagocytophilum*—a widespread multi-host  
167 pathogen with highly adaptive strategies. Front Cell Infect Microbiol. 2013;3:31. doi:  
168 10.3389/fcimb.2013.00031

Table 1. Indirect fluorescent antibody (IFA) assay results for anti-*Anaplasma phagocytophilum* antibodies in captive Przewalski's horses (*Equus ferus przewalskii*) at the Smithsonian Conservation Biology Institute in Virginia, USA between 2008-2014.

ID #	Sex	Year of Birth	IFA Titers <sup>a</sup>						
			2008	2009	2010	2011	2012	2013	2014
1	M	1977	--	1280	640	--	640	--	--
2	F	1981	--	1280	--	--	80	--	--
3	F	1982	--	--	40	--	80	--	--
4	F	1983	--	--	--	320	--	--	--
5	F	1986	--	--	--	< 20	--	--	--
6	M	1988	80	--	--	--	--	--	--
7	M	1988	160	--	< 20	< 20	160 <sup>b</sup>	--	--
8	M	1988	--	< 20 <sup>b</sup>	--	--	< 20	--	320
9	F	1989	--	--	640	--	--	--	--
10	F	1990	640	--	640	--	--	--	--
11	M	1990	< 20	640	--	--	--	320	--
12	M	1990	< 20	640	320	--	--	320	< 20
13	F	1991	640	--	--	--	--	--	--
14	F	1991	--	--	640	--	--	--	--
15	F	1994	--	< 20	1280	--	320	1280 <sup>b</sup>	640
16	M	1999	--	320	80	--	160	< 20	--
17	F	2001	--	--	< 20	--	--	< 20	--
18	F	2003	--	< 20	--	--	--	--	--
19	F	2006	--	--	80	--	--	< 20	--
20	M	2006	--	--	--	--	< 20	< 20	--
21	F	2006	--	--	--	--	--	--	40
22	F	2007	--	--	< 20	--	--	--	--
23	F	2008	--	--	< 20	--	--	< 20	--
24	M	2008	--	--	< 20	--	< 20	< 20	--
25	M	2009	--	--	--	--	--	< 20	--
26	F	2010	--	--	--	--	< 20	--	320
27	F	2012	--	--	--	--	--	--	< 20 <sup>b</sup>

<sup>a</sup> University Tennessee College of Veterinary Medicine Diagnostic Laboratory Services, Knoxville, Tennessee 37996, USA.

<sup>b</sup> This individual had clinical anaplasmosis at time of this titer.

Table 2. Summarized results of indirect fluorescent antibody (IFA) assay for anti-*Anaplasma phagocytophilum* antibodies in 27 captive Przewalski's horses (*Equus ferus przewalskii*) at the Smithsonian Conservation Biology Institute in Virginia, USA between 2008-2014.<sup>a</sup>

Year	Total in whole herd	Total tested	IFA titers <sup>c</sup>									
			< 1:80 (negative)		≥ 1:80 (total positive)			1:80	1:160	1:320	1:640	1:1280
			n	%	n	%	95% CI <sup>d</sup>	n	n	n	n	n
2008	19	6	2	33	4	67	36 – 98	1	1	0	2	0
2009 <sup>b</sup>	25	8	3	37	5	63	35 – 91	0	0	1	2	2
2010	25	14	6	43	8	57	34 – 80	2	0	1	4	1
2011	24	3	2	67	1	33	0 – 70	0	0	1	0	0
2012 <sup>b</sup>	24	10	4	40	6	60	34 – 86	2	2	1	1	0
2013 <sup>b</sup>	25	10	7	70	3	30	4 – 56	0	0	2	0	1
2014 <sup>b</sup>	23	6	3	50	3	50	18 – 82	0	0	2	1	0
Totals		57	27	47	30	53	40 – 60	5	3	8	10	4

<sup>a</sup> Individuals are repeated between years, but not within a year.

<sup>b</sup> One included individual in this year had clinical anaplasmosis.

<sup>c</sup> University Tennessee College of Veterinary Medicine Diagnostic Laboratory Services, Knoxville, Tennessee 37996, USA.

<sup>d</sup> CI, confidence interval.